

# 5G

Technology overview and Architecture

Srini Gottumukkala, OpusNet

[OpusNetinc.com](http://OpusNetinc.com)

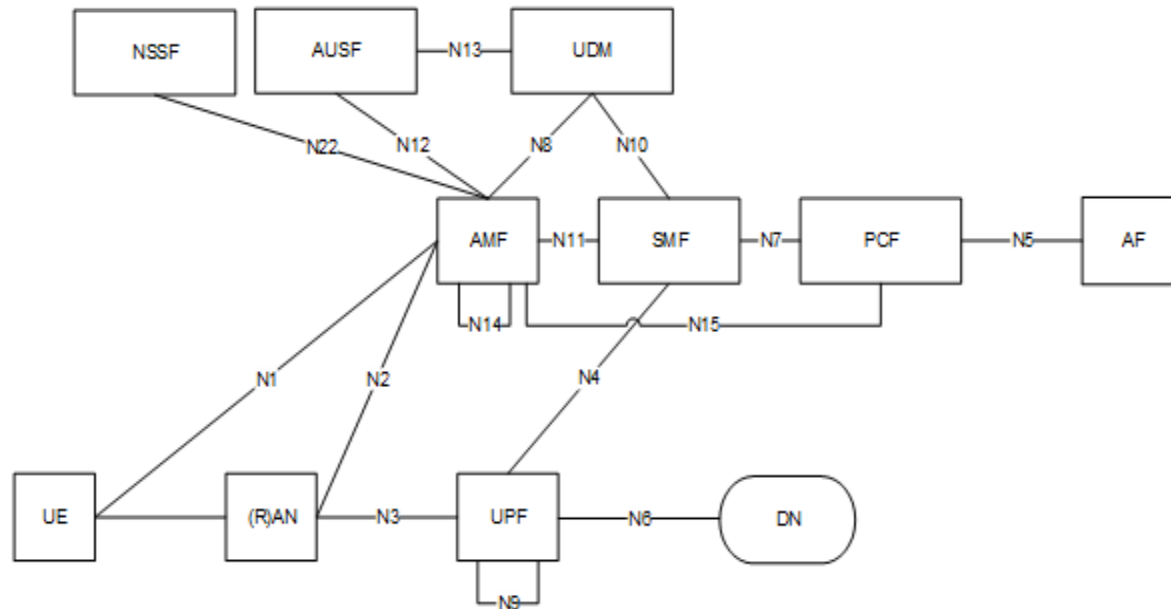
# Goals/Objectives/KPIs, Standards & Specifications

5G KPIs and 3GPP's Timeline Rel 15 in 2018 and Rel 16 in 2020

- The International Telecommunication Union (ITU) has put forth some requirements for 5G that focus on fulfilling three key performance indicators (KPIs):
  - >10 Gb/s peak data rates for the enhanced mobile broadband (eMBB)
  - >1 M/km<sup>2</sup> connections for massive machine-type communications (MMTC)
  - <1 ms latency for ultra-reliable low-latency communications (URLLC).
- 3gpp.org: Stage 1, 2 and 3 Specifications
- *stage 1 specifications* define the service requirements from the user point of view.
- *stage 2 specifications* define an architecture to support the service requirements.
- *stage 3 specifications* define an implementation of the architecture by specifying protocols in detail.
- OMA (Open Mobile Alliance): [openMobileAlliance.org](http://openMobileAlliance.org), 3GPP2, ITU, ETSI, IETF, ANSI, NIST, regulating bodies FCC & TIA

## 5G CN Architecture

- Traditionally 3GPP has documented the architecture of the system (in Stage 2 Working Groups) using Reference Points and Network Functions
- In principle there is one Reference Point between each pair of Network Functions
- The functionality of each Reference Point is then defined in terms of the messages exchanged between the Network Functions, as shown in call flows in the Technical Specifications
- The Stage 3 Working Groups take these call flows and translate them into protocols
- Different protocols can, and often are, used for different Reference Points
- Here is the 5G system architecture depicted in this Reference Point style (from TS 23.501)



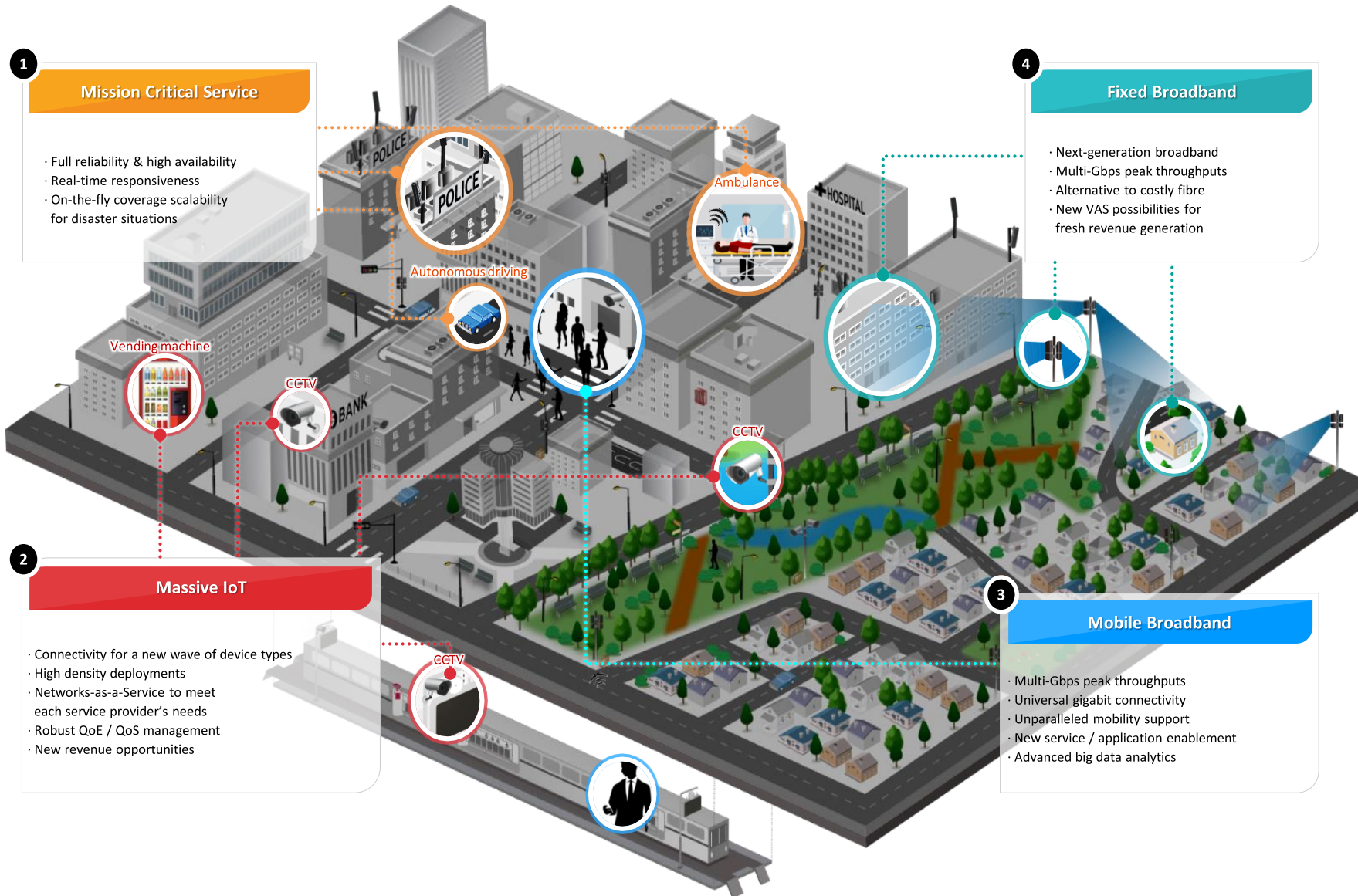
# Technology features, services, applications

## 5G features

- New Radio (NR), Millimeter wave: 1 to 6 GHz is very crowded, 30 to 300 GHz
- Next Generation Core (NGC)
- Small cells/HetNet
- Massive MIMOs
- Beam forming
- NOMA, Non-Orthogonal Multiple Access
- MEC, Mobile Edge Computing
- Full Duplex
- Capacity = Cell Density X Spectral Efficiency X Available Spectrum
- mMTC, massive Machine Type Communications
- eMBB, enhanced Mobile Broad Band
- URLLC, Ultra-reliable and Low Latency Communications (Mission Critical Communications)
- MEC, Multi-Access Edge Computing or Mobile Edge Computing
- Network Slicing
- NVF
- Software Defined Radio (SDN)

- Architecture, Protocols, Interfaces, IEs/Attributes/AVPs, Coding, protocol stack peer to peer communication
- Open Systems vs. Closed Systems: Security Aspects
- Convergence of Networks and Data Centric
- Platform: Mobile vs. Desktop
- Operating Systems:
- Spectral Efficiency, Band Width, Latency, Capacity, Scalability
- Complex & many N/W nodes, Flat IP Architecture, Control plane and User Plane Separation (CUPS)

# 5G Key Service Scenarios



**1 Mission Critical Service**

- Full reliability & high availability
- Real-time responsiveness
- On-the-fly coverage scalability for disaster situations

**4 Fixed Broadband**

- Next-generation broadband
- Multi-Gbps peak throughputs
- Alternative to costly fibre
- New VAS possibilities for fresh revenue generation

**2 Massive IoT**

- Connectivity for a new wave of device types
- High density deployments
- Networks-as-a-Service to meet each service provider's needs
- Robust QoE / QoS management
- New revenue opportunities

**3 Mobile Broadband**

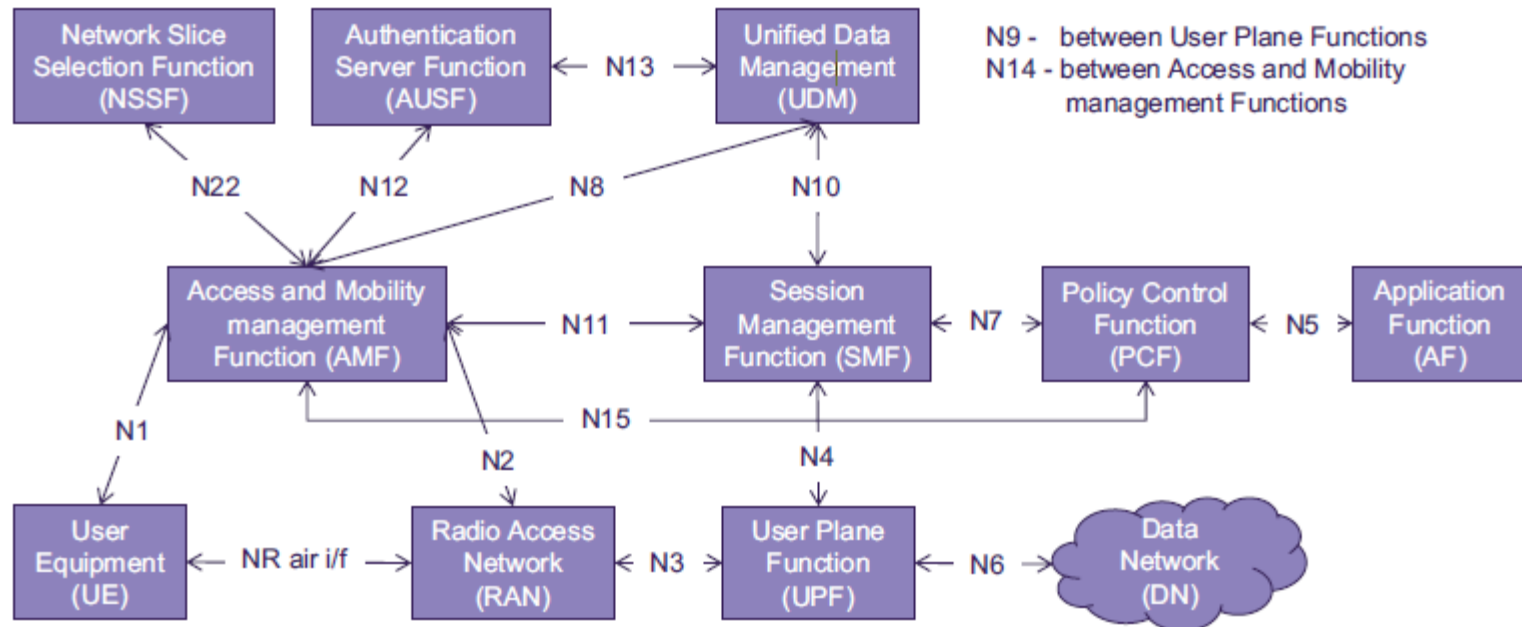
- Multi-Gbps peak throughputs
- Universal gigabit connectivity
- Unparalleled mobility support
- New service / application enablement
- Advanced big data analytics

## Requirements & Apps

- 10x bandwidth per connection
- Low-ms latency
- Five 9's reliability
- 100% coverage
- >10x connections
- 50Mbps per connection everywhere
- 1000x bandwidth/area
- 10 year battery life
- Reduction in TCO, Total Cost of Ownership
- Connected cars, Industrial handhelds, Asset trackers, health monitors, wearables, security systems, parking systems, sensors, smart city, utility meters, agricultural monitors, IoT gateways, cameras, vending machines, energy management

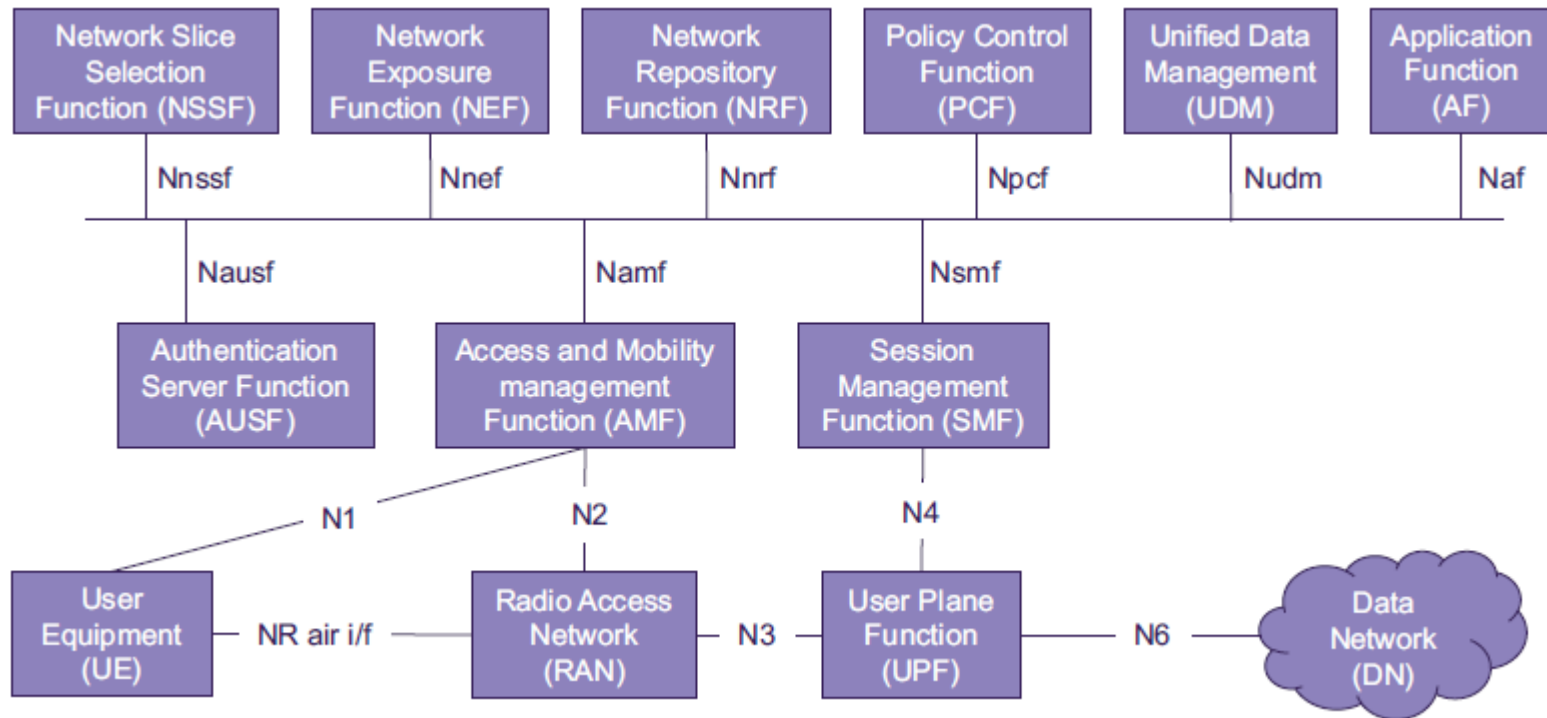
# 5G Network Architecture

- Control Plane functions: Core Access and Mobility Management function, Session Management function, Policy Control function, Application function and Network Slice Selection function (NSSF)
- Subscriber Management functions: Authentication Server function and Unified Data Management function
- User Plane
- N1 interface: 3GPP non-access stratum between UE and CN



# 5G Service Based Architecture

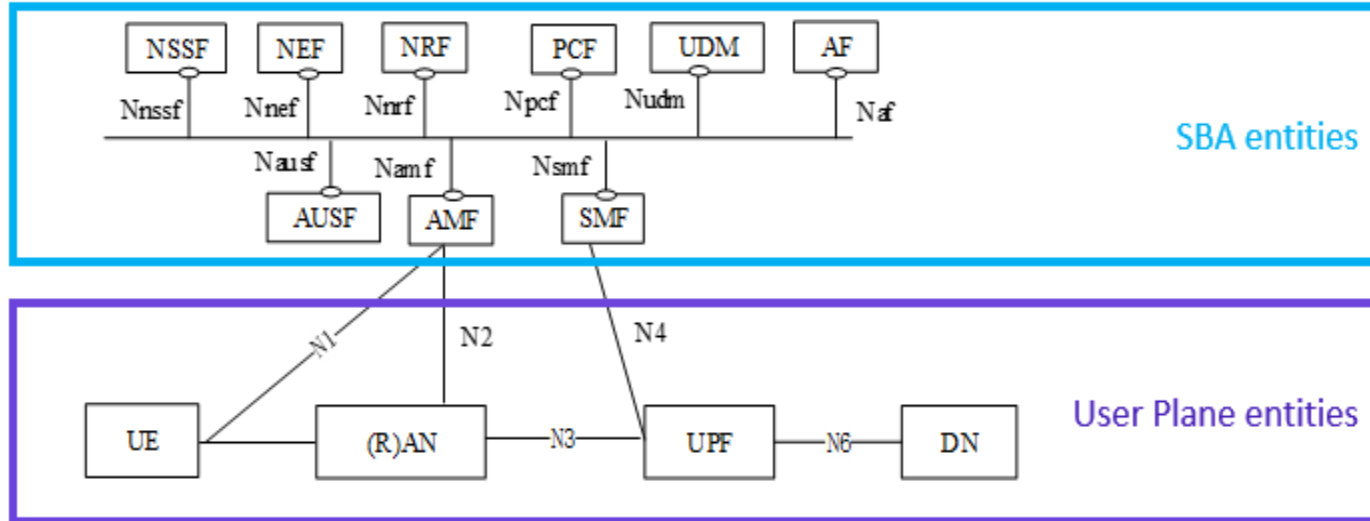
- Separate Control plane functions from User plane fns: independent scalability, modularize fns for n/w slicing, evolution and flexible deployment
- Network Repository Function (NRF) and Network Exposure Function (NEF)





## CN redefined as Service-Based Architecture (SBA)

- Here is the 5G system architecture depicted in the SBA style (from TS 23.501)



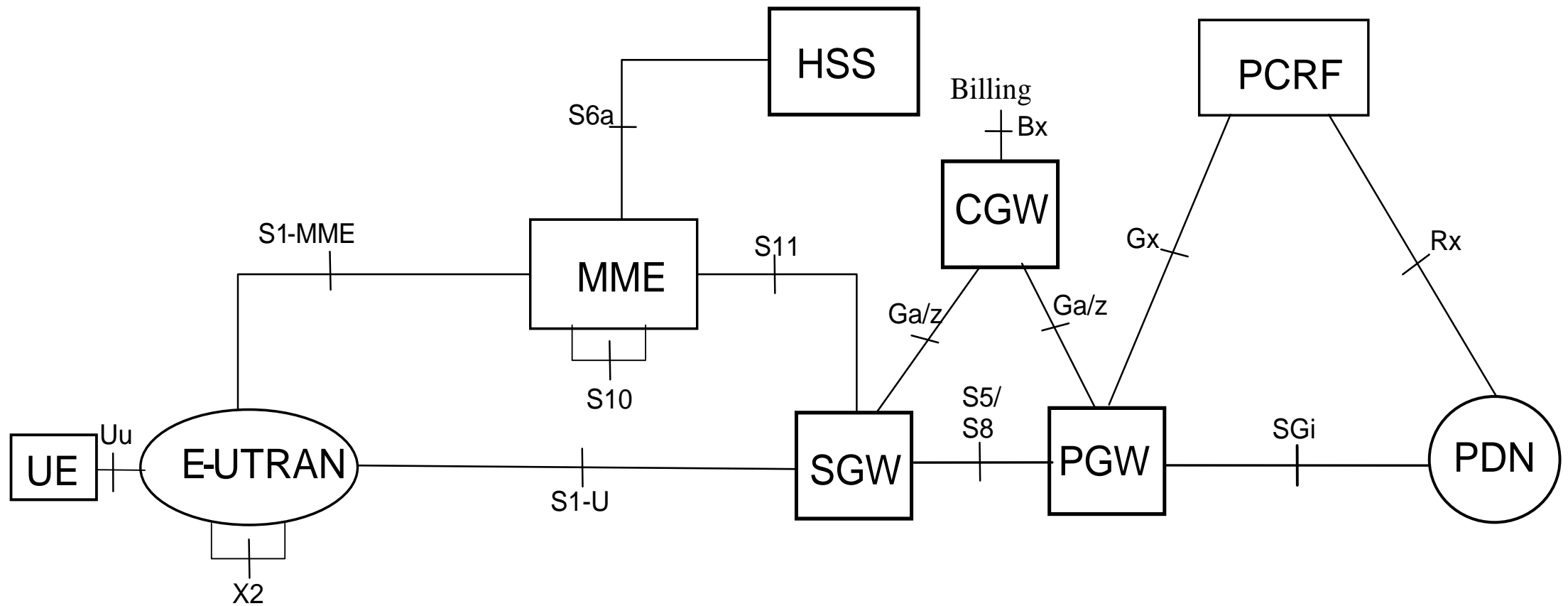
Authentication Server Function (AUSF)  
Access and Mobility Management Function (AMF)  
Session Management Function (SMF)  
Network Slice Selection Function (NSSF)  
Network Exposure Function (NEF)  
NF Repository Function (NRF)  
Policy Control Function (PCF)  
Unified Data Management (UDM)  
Application Function (AF)

Unified Data Repository (UDR)  
Unstructured Data Storage Function (UDSF)  
5G-Equipment Identity Register (5G-EIR)  
Security Edge Protection Proxy (SEPP)  
Network Data Analytics Function (NWDAF)

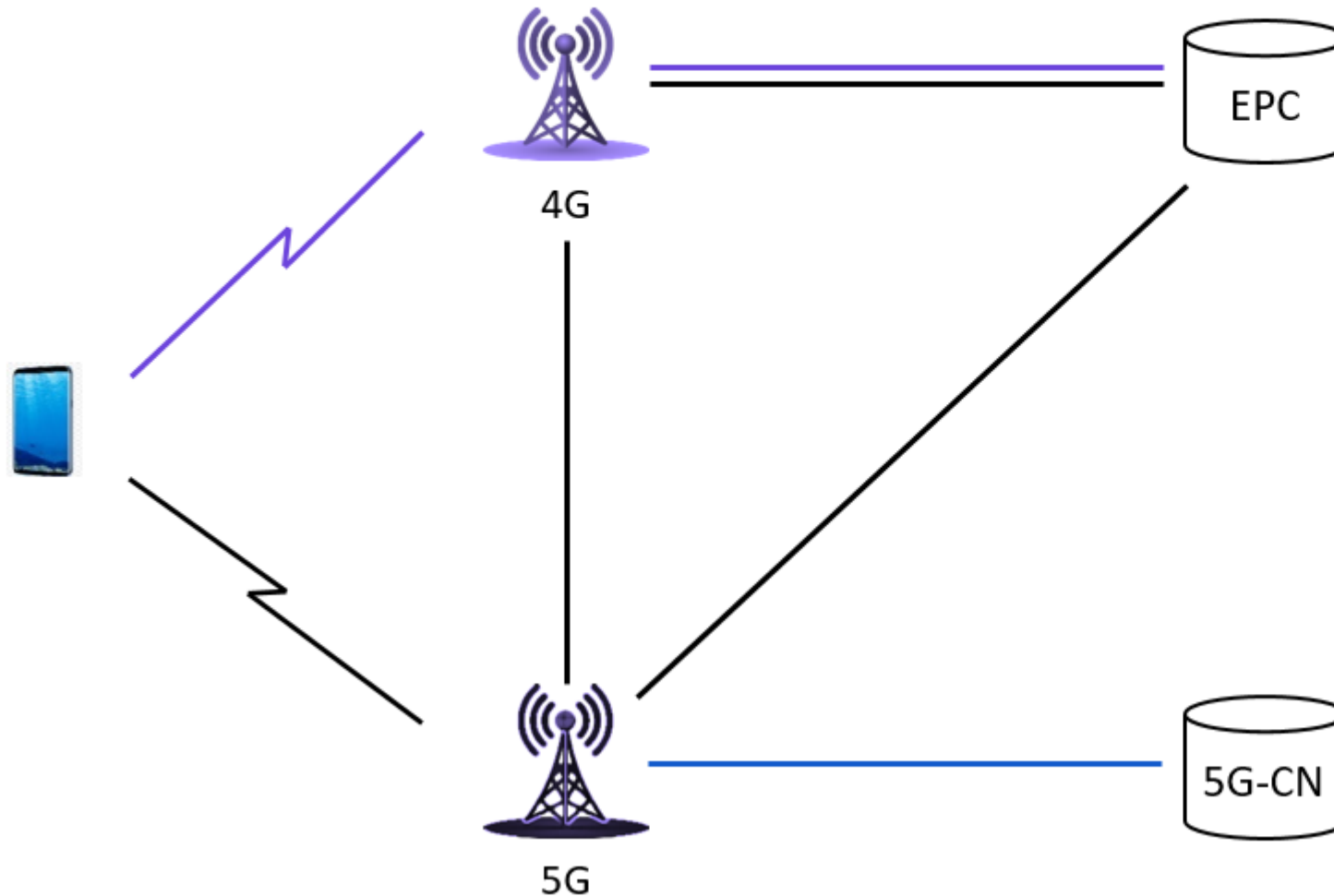
User Plane Function (UPF)  
Data Network (DN), e.g. operator services, Internet access or 3rd party services  
User Equipment (UE)  
(Radio) Access Network ((R)AN)

- Note that the User Plane functions, and their direct interactions with the Control Plane, are still depicted as Reference Points
- However, all of the other Control Plane functions are connected by http2-based service-based interfaces
- In principle any service-based interface exposed by a Network Function can be used (consumed) by any other Network Function

# 3GPP LTE Reference Architecture



## 5G – Standalone vs Non-Standalone



### Today – 4G Access

Device attaches to LTE/4G radio and Evolved Packet Core (EPC)

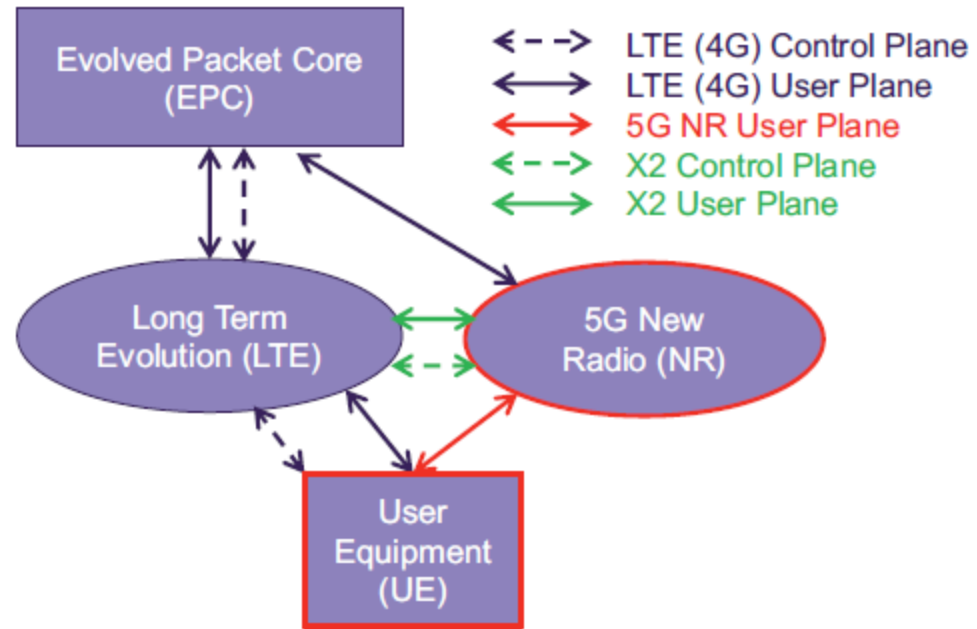
### Early 5G – Non-Standalone

Device attaches to 5G-NR, which routes either via 4G Base Station to EPC, or direct to EPC

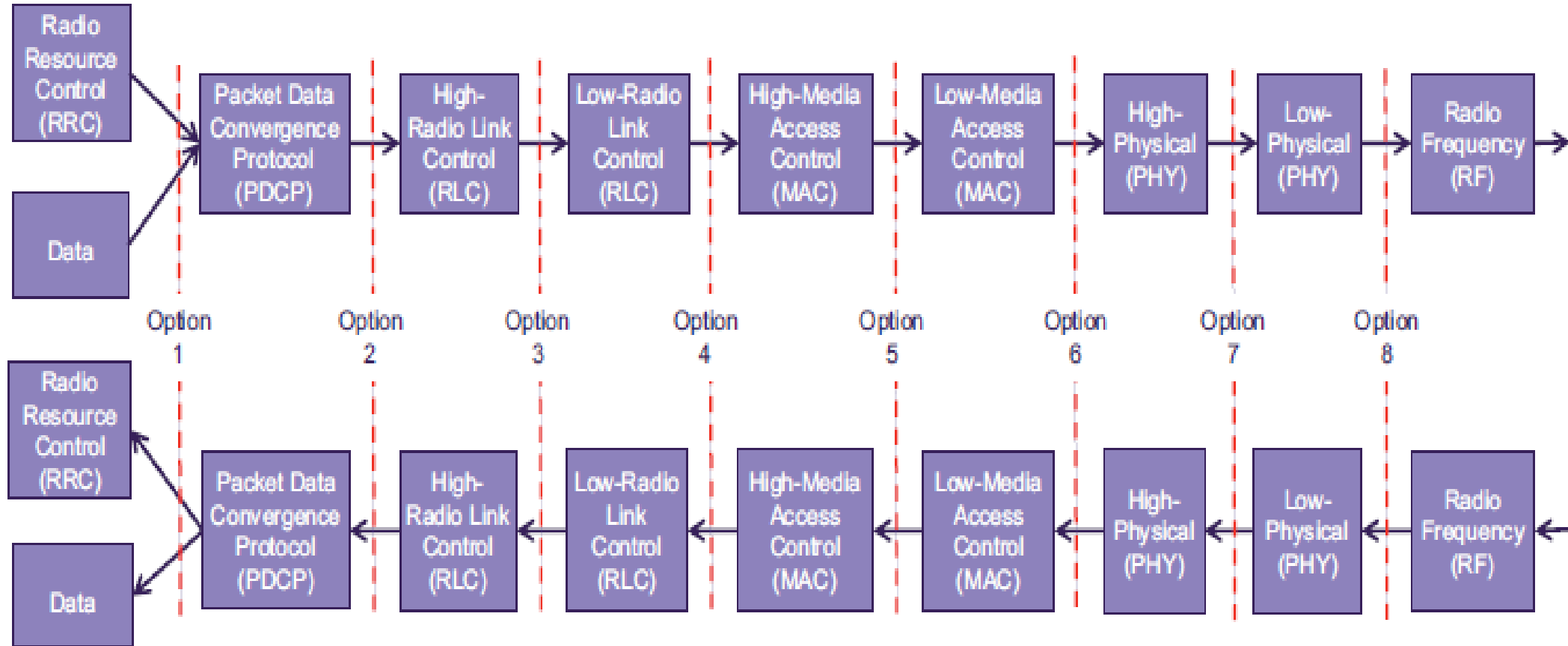
### 5G Standalone

Device attaches to 5G-NR and 5G Core Network.

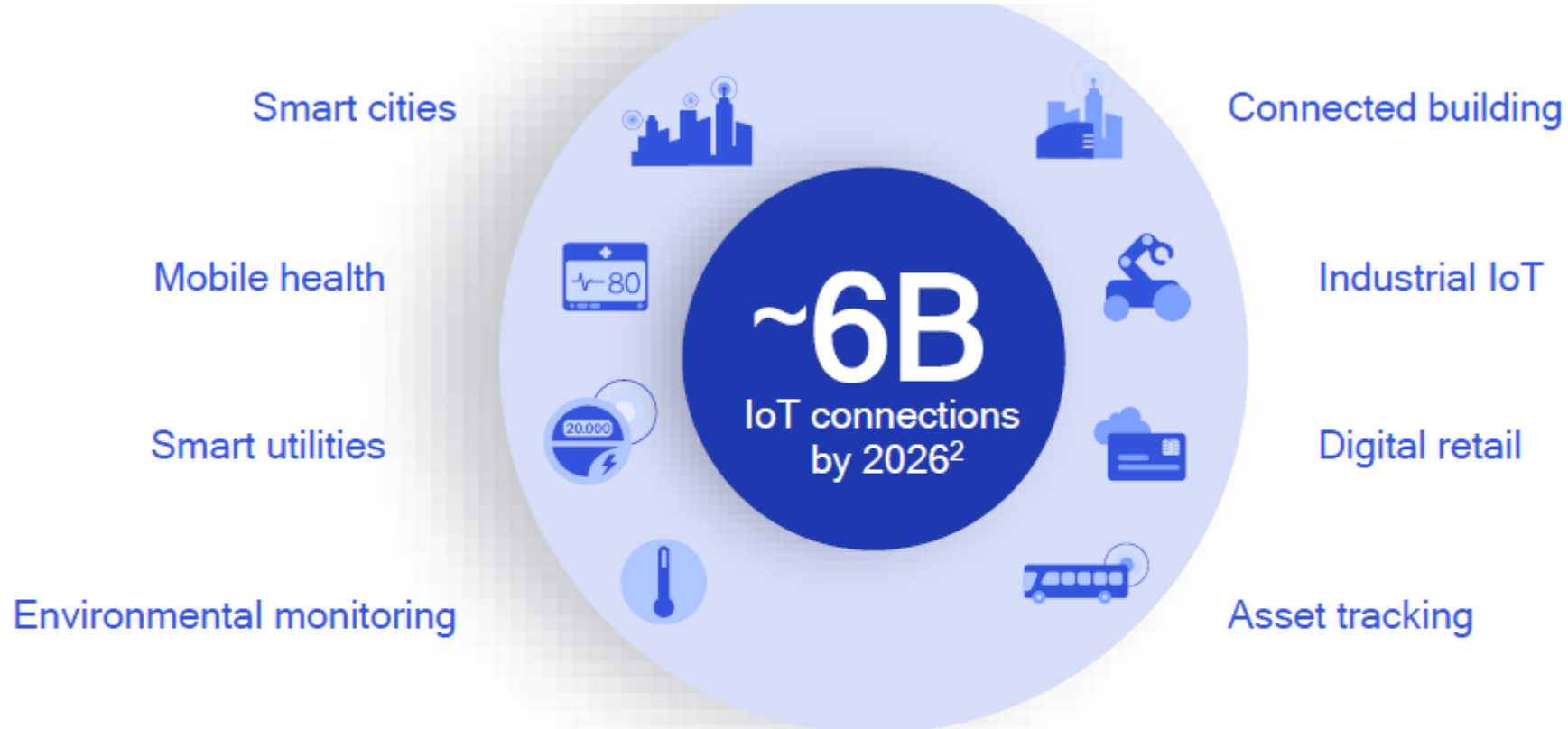
## Option 3: 5G non-standalone network architecture



# RAN protocol architecture 3GPP TR 38.801



# IOT Services enabled by Cellular Technologies, 3GPP and non-3GPP (Wi-Fi, NFC, Bluetooth) Solutions & LPWA<sub>1</sub> use cases



Always-available,  
ubiquitous connectivity

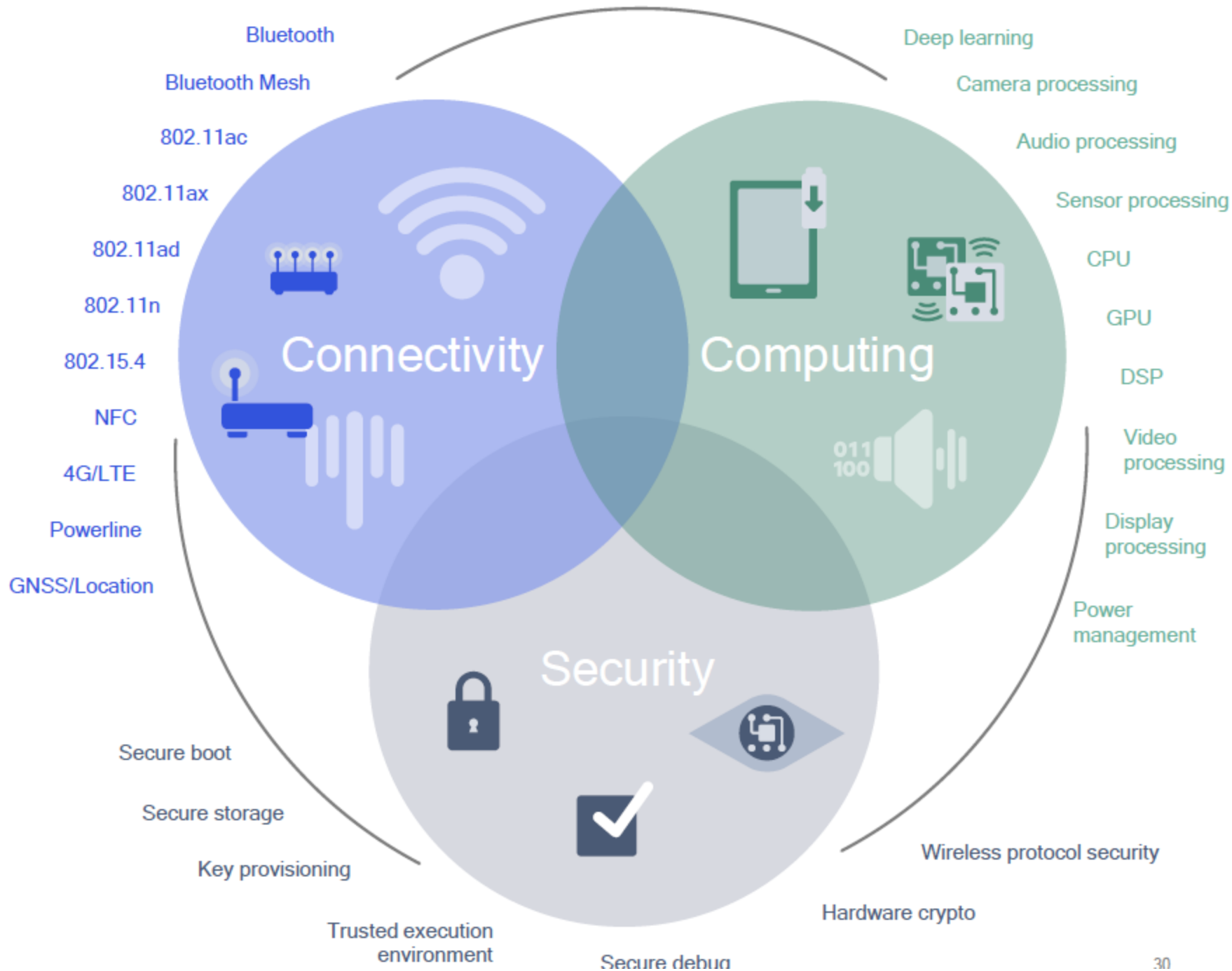
Mature, interoperable  
global ecosystem

Scalable  
performance

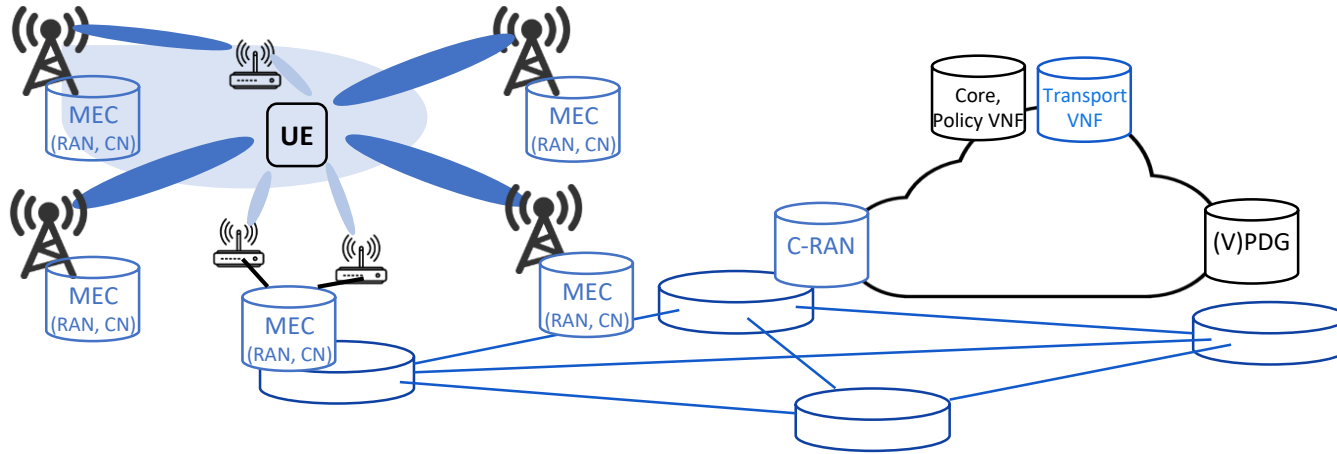
Seamless coexistence  
of different services

High reliability and  
proven security

1. Low-power, wide-area; 2. Including cellular and LPWA M2M connections, Machina Research, June, 2018



## 4G/5G Topology flexibility



‘Softwarisation’ of the network

C-RAN: removal of functionality from cell sites to consolidation point in the network

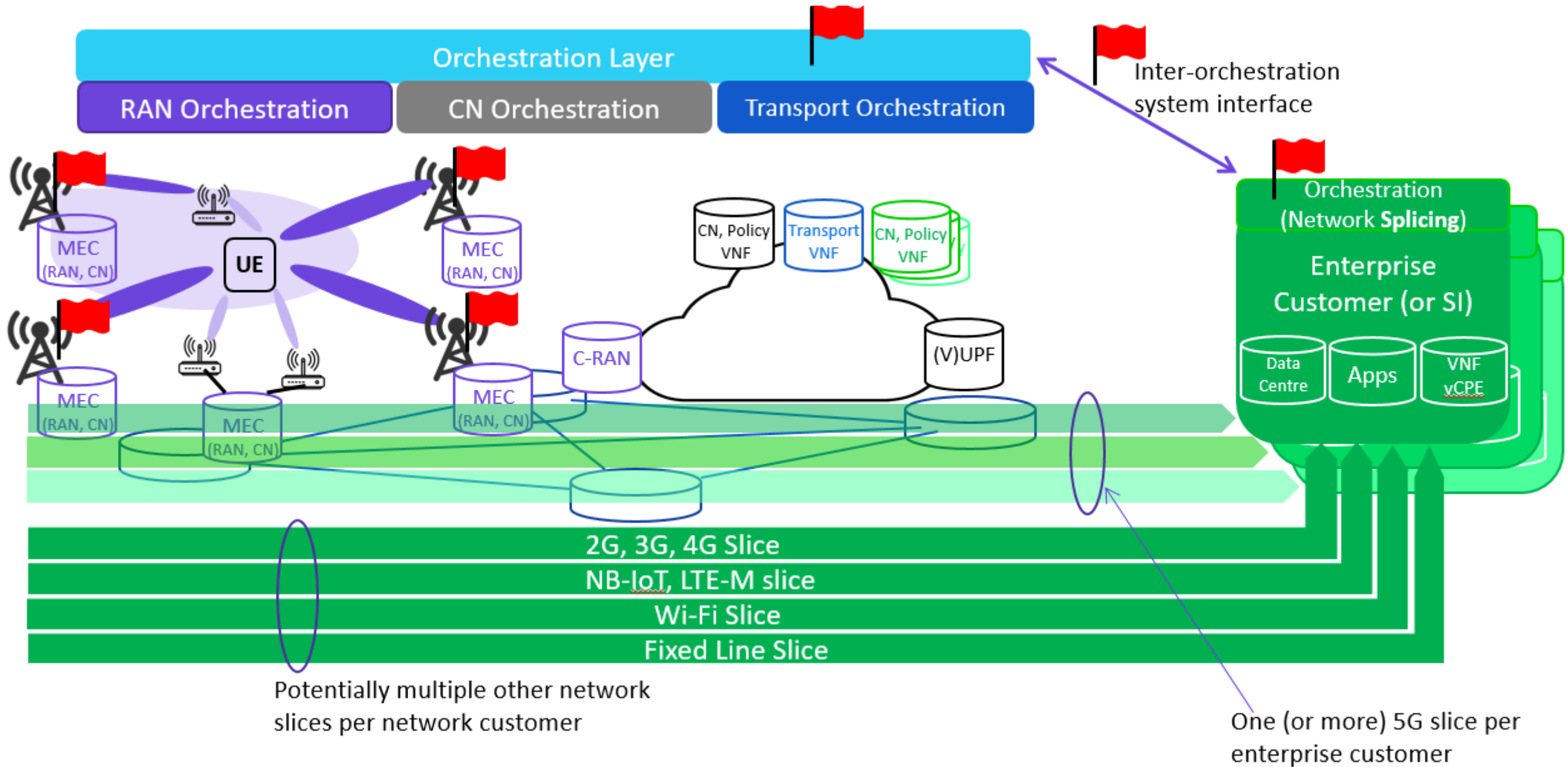
NFV and SDN: enabling flexibility in where functions are deployed and scaled

MEC: pushing Core Network functions and content ingress to cell sites

CP/UP split: decoupling of user plane traffic from control plane functions

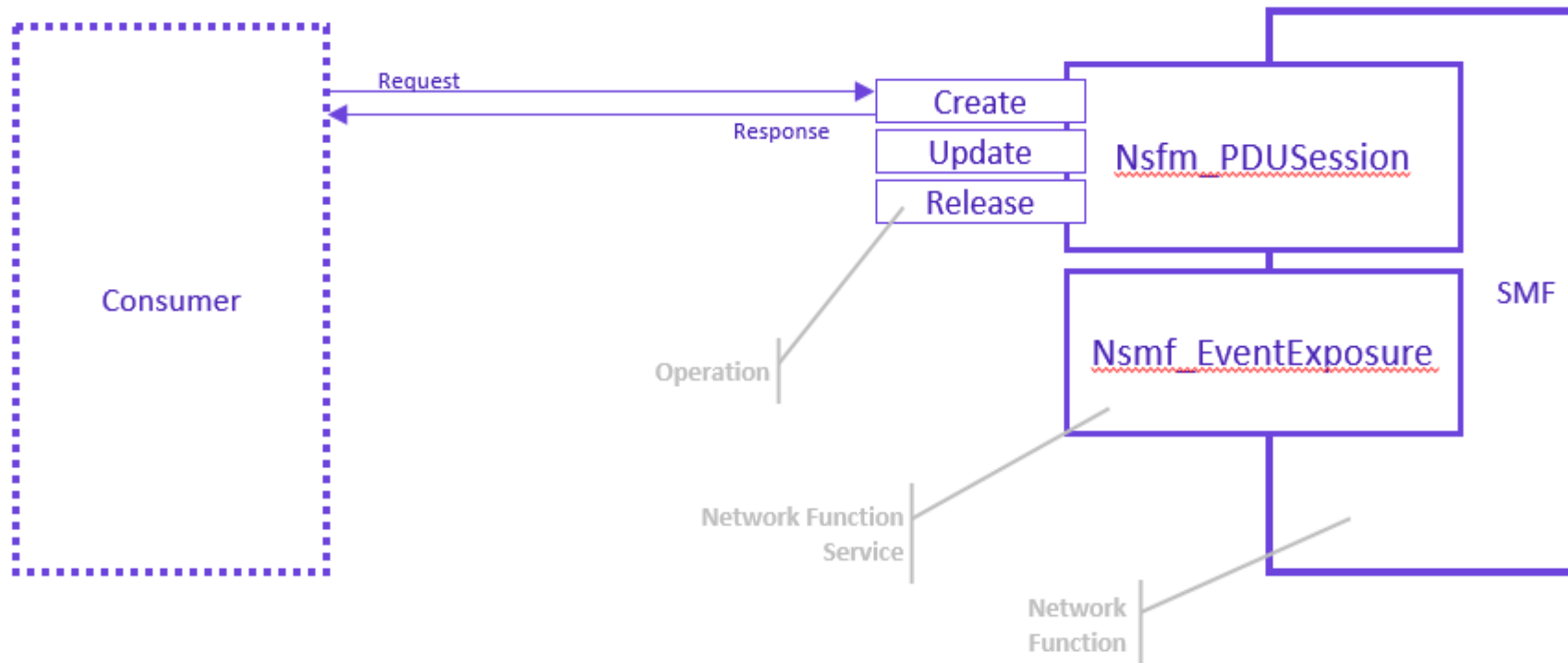


# Network Splicing

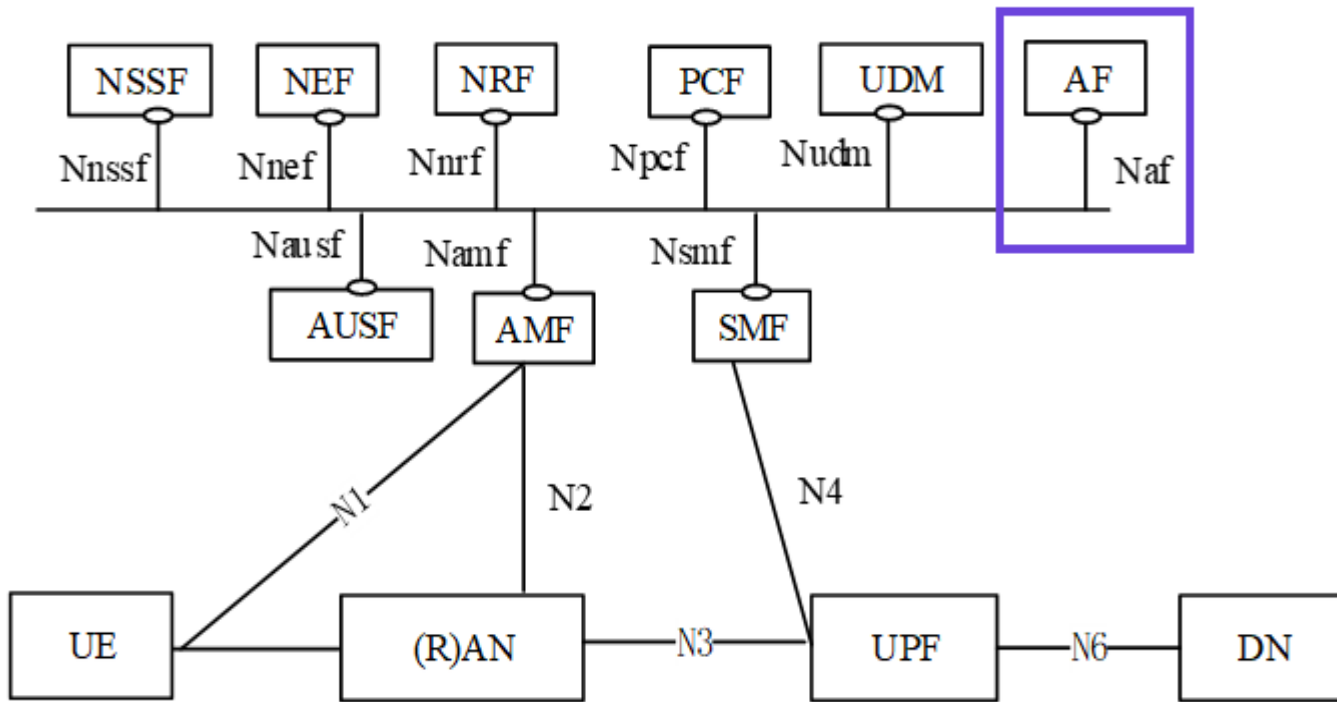


## Functions, Services, Operations

- Each entity in the architecture is (still) called a Network Function
- For those entities that are part of the Service Based Architecture
  - Each of the interfaces to the Network Functions is a Service Based Interface (eg Nsmf)
  - Each Network Function supports one or more Network Function Services exposed via its Service Based Interface
  - Each Network Function Service supports one or more Operations
- Operations can be invoked by other entities (Consumers)



## AF with http2 interface



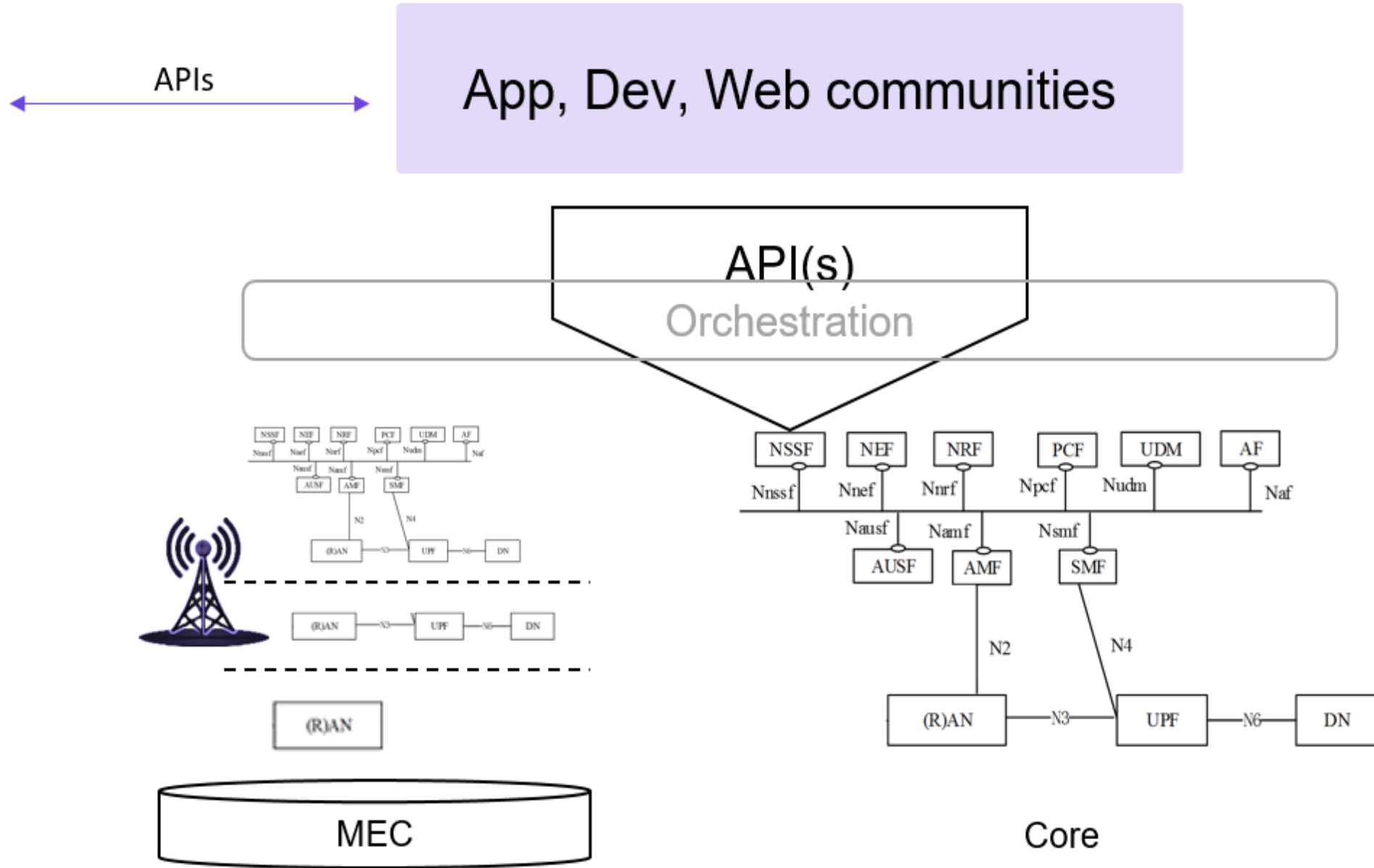
- The Application Function (AF) can be a mutually authenticated third party.
  - Could be a specific 3<sup>rd</sup> party with a direct http2 interface or a interworking gateway exposing alternative API's to external applications.
- Enables applications to directly control Policy (reserve network resource, enforce SLAs), create network Slices, learn device capabilities and adapt service accordingly, invoke other VNF's within the network...
- Can also subscribe to events and have direct understanding of how the network behaves in relation to the service delivered.

- Because the SBA is made up of VNFs, the AF could be deployed on a MEC server, in a network Cloud, on dedicated hardware. It could be dynamically brought into the network, or a specific network slice, and then removed when no longer in use.

# APIs and Network Orchestration COTS



Devices



Core

MEC

## Conclusions

SBA, and the adoption of http2 is an opportunity for Web, App, Dev communities to access network capabilities

3GPP are in the process of defining the interfaces in the SBA architecture so there is an opportunity to work with the telecoms ecosystem to get this right

URLLC and Massive IoT are the target use cases for 5G

B2B, B2B2C business models drive 5G business case

Set aside the radio – an SBA 5G Core network, with softwarisation, virtualisation, orchestration, MEC and slicing is going to take operators a while to get their heads around.

Not all networks will be 5G-SA day 1 (or Day N+1), so there is network-specific perspective to what will be available when and where

3GPP takes a loooooong time, and adoption may take even longer – will web community wait? (You haven't in the past, particularly when device APIs get the job done)

eMBB is where initial launches will be targeted.

No one actually knows what the business case is yet, and B2B, B2B2C come with different expectations from the customer around SLA, KPI and contractual penalties, liability

## Consequences

- 1 ms latency for AR, VR, remote surgery is pointless without a video codec that runs significantly faster than 1000 frames per second
- TCP/IP is not fit for purpose. Packet loss handling will break a lot of 5G use cases
- Neither is GTP. Internet of Things needs 'Internet to the Thing' without a proprietary connectivity network in the way
- Wireless Networks have had to wait for common hardware platform performance to reach current performance and availability requirements before NFV/SDN could happen. 5G performance and availability requirements are an order of magnitude harder and pushing the platform down in to a more remote part of the network
- Driverless autonomous cars are great, as long as they are all autonomous. There is a massive backward compatibility issue when some cars are driverless and others aren't
- Existing Roaming model won't cut it, we have been trying to change Roaming for years. It is not technology that stops it changing.

## IPv6 vs. IPv4

Feature	IPv4	IPv6
Deployed	1978	1999
Address format	129.5.255.2/16	2001:0ba0:01e0:d001:0000:0000:d0f0:0010
Address Space	Over $10^9$ ; possible addresses, 32 bit address space	Over $10^{38}$ ; possible addresses. 128 bit address space
Packet Size	Variable size- time consuming to handle	Fixed size (40 Octets) More efficient
Special fields in header	Many types, often not supported by vendors .	Eliminated for efficiency or replaced by other features.
Security	-limited: no authentication or encryption at IP level. -Dependence on higher level protocols; vulnerable to <b>DoS</b> and address deception or <b>spoofing</b> attacks.	-Authentication(validation of packet origin). -Encryption(privacy of contents) -requires administration of “security associations” to handle key distributions.
Quality of Service	-Defined but not generally used, connectionless, best effort delivery,	-Flow labeling -Priority -Support for real-time data and multimedia distribution

## eSIM/eUICC

- **eSIM provides an equivalent level of security as the removable SIM card.** This is **vital** as it is the subscription credentials stored on the SIM card that enable secure and private access to mobile networks. It also supports the integrity of the billing process, especially in roaming scenarios:
- **For the device end user,** eSIM enables simplified management of subscriptions and connections. End users will no longer have to manage several SIM cards:
- **For organisations,** eSIM enables remote management of subscriptions. This is a significant benefit where devices are not managed by the end user or are not be readily accessible (for example due to operational scale, making individual device management cost prohibitive). This enables pioneering categories of connected devices:
- **For distributors,** simplified logistics are possible, customisation for specific operators or regions may be reduced:
- **Operators** will have simpler means to expand their businesses into emerging markets, for example, automotive, wearables and consumer electronics. SIM card distribution costs will be eliminated, and eSIMs will enable new distribution models for devices and for marketing of subscriptions:
- **Device Manufacturers,** can exploit the reduced space within their products to make smaller devices. Their products could also be made more tolerant to environmental factors such as dampness, temperature and vibration as they can be hermetically (completely airtight) sealed. Manufacturers can also leverage eSIMs to optimise supply chain processes.



# Mobile Security Architecture, an Example

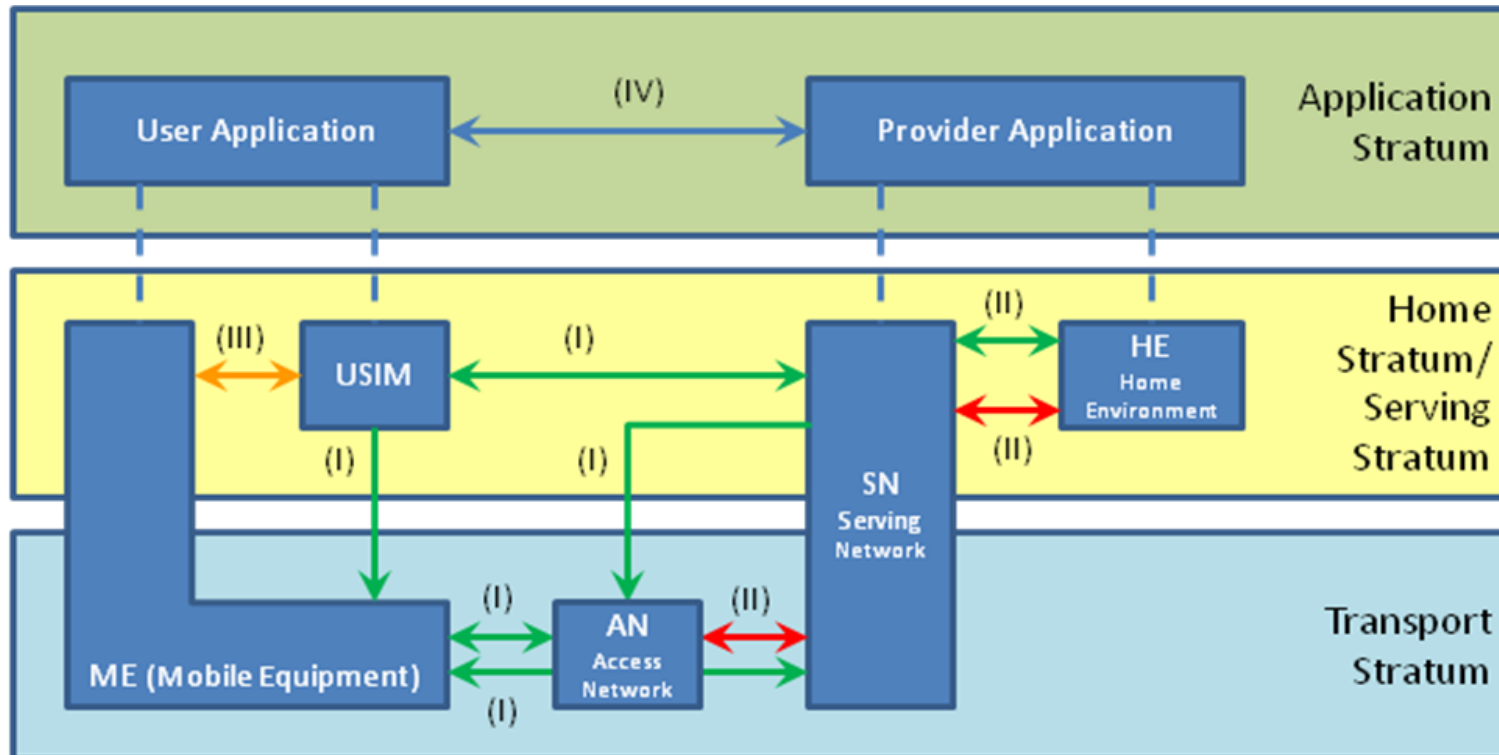
(I) Network Access Security – The set of security features that provide users with secure access to services, and which in particular protect against attacks on the (radio) access link.

(II) Network Domain Security – The set of security features that enable nodes to securely exchange signaling data, user data (between AN and SN and within AN), and protect against attacks on the wire line network.

(III) User Domain Security – The set of security features that secure access to mobile stations

(IV) Application Domain Security – The set of security features that enable applications in the user and in the provider domain to securely exchange messages.

(V) Visibility and Configurability of Security – The set of features that enables the user to determine whether a security feature is in operation or not and whether the use and provision of services should depend on the security feature



## 5G Interfaces

- N1: Reference point between the UE and the Access and Mobility Management function (AMF).
- N2: Reference point between the (R)AN and the Access and Mobility Management function.
- N3: Reference point between the (R)AN and the User plane function (UPF).
- N4: Reference point between the Session Management function (SMF) and the User plane function (UPF).
- N5: Reference point between the Policy Function (PCF) and an Application Function (AF).
- N6: Reference point between the UP function (UPF) and a Data Network (DN).
- N7: Reference point between the Session Management function (SMF) and the Policy Control function (PCF).
- N7r: Reference point between the vPCF and the hPCF.
- N8: Reference point between Unified Data Management and AMF.
- N9: Reference point between two Core User plane functions (UPFs).
- N10: Reference point between UDM and SMF.
- N11: Reference point between Access and Mobility Management function (AMF) and Session Management function (SMF).
- N12: Reference point between Access and Mobility Management function (AMF) and Authentication Server function (AUSF).
- N13: Reference point between UDM and Authentication Server function (AUSF).
- N14: Reference point between 2 Access and Mobility Management function (AMF).
- N15: Reference point between the PCF and the AMF in case of non-roaming scenario, V-PCF and AMF in case of roaming scenario.
- N16: Reference point between two SMFs, (in roaming case between V-SMF and the H-SMF).
- N22: Reference point between AMF and Network Slice Selection Function (NSSF).

**ABBREVIATIONS**

3GPP	3rd Generation Partnership Project	mMTC	Massive Machine Type Communications
AAU	Active Antenna Unit	NEF	Network Exposure Function
AF	Application Function	NGC	Next Generation Core
AMF	Access and Mobility management Function	NR	New Radio
ARQ	Automatic Repeat request	NRF	Network Repository Function
AUSF	Authentication Server Function	NSA	Non-Standalone
BBU	BaseBand Unit	NSSF	Network Slice Selection Function
CP-OFDM	Cyclic Prefix-Orthogonal Frequency Division Multiplex	PCF	Policy Control Function
CPRI	Evolved CPRI	PDCP	Packet Data Convergence Protocol
CU	Centralised Unit	QoS	Quality of Service
DN	Data Network	RAN	Radio Access Network
DU	Distributed Unit	RLC	Radio Link Control
eCPRI	Evolved CPRI	RRC	Radio Resource Control
eMBB	Enhanced Mobile Broadband	RRU	Remote Radio Unit
EPC	Evolved Packet Core	SBA	Service Based Architecture
FDD	Frequency Division Duplex	SDAP	Service Data Adaptation Protocol
gNB	next Generation Node B	SMF	Session Management Function
gNB-CU	gNB-Centralised Unit	TDD	Time Division Duplex
gNB-DU	gNB-Distributed Unit	TNL	Transport Network Layer
GPRS	General Packet Radio Service	UDM	Unified Data Management
GSM	Global System for Mobile Communications	UE	User Equipment

THANK YOU

