

Full-Duplex Distributed Integrated Sensing and Communications

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United States DEVCOM Army Research Laboratory



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Radar Spectrum Allocation

Modern radar systems operate in an increasingly crowded RF spectrum

Radars need to use full bandwidth and undertake continuous transmissions

IEEE Radar band	VHF/UHF [30 MHz – 1 GHz]	L [1-2 GHz]	S [2-4 GHz]	C [4-8 GHz]	X [8-12 GHz]	Ku, K, Ka ,V, W [12-300 GHz]
Examples of radar usage	FOPEN	ARSR	ASR, NEXRAD	TDWR	CASA	Automotive radars, cloud radars
Co-existing comms	TV/broadcast/802.11 ah/f	WiMAX, JTIDS	LTE	802.11a/ac	LTE	802.11ad, mmwave comm



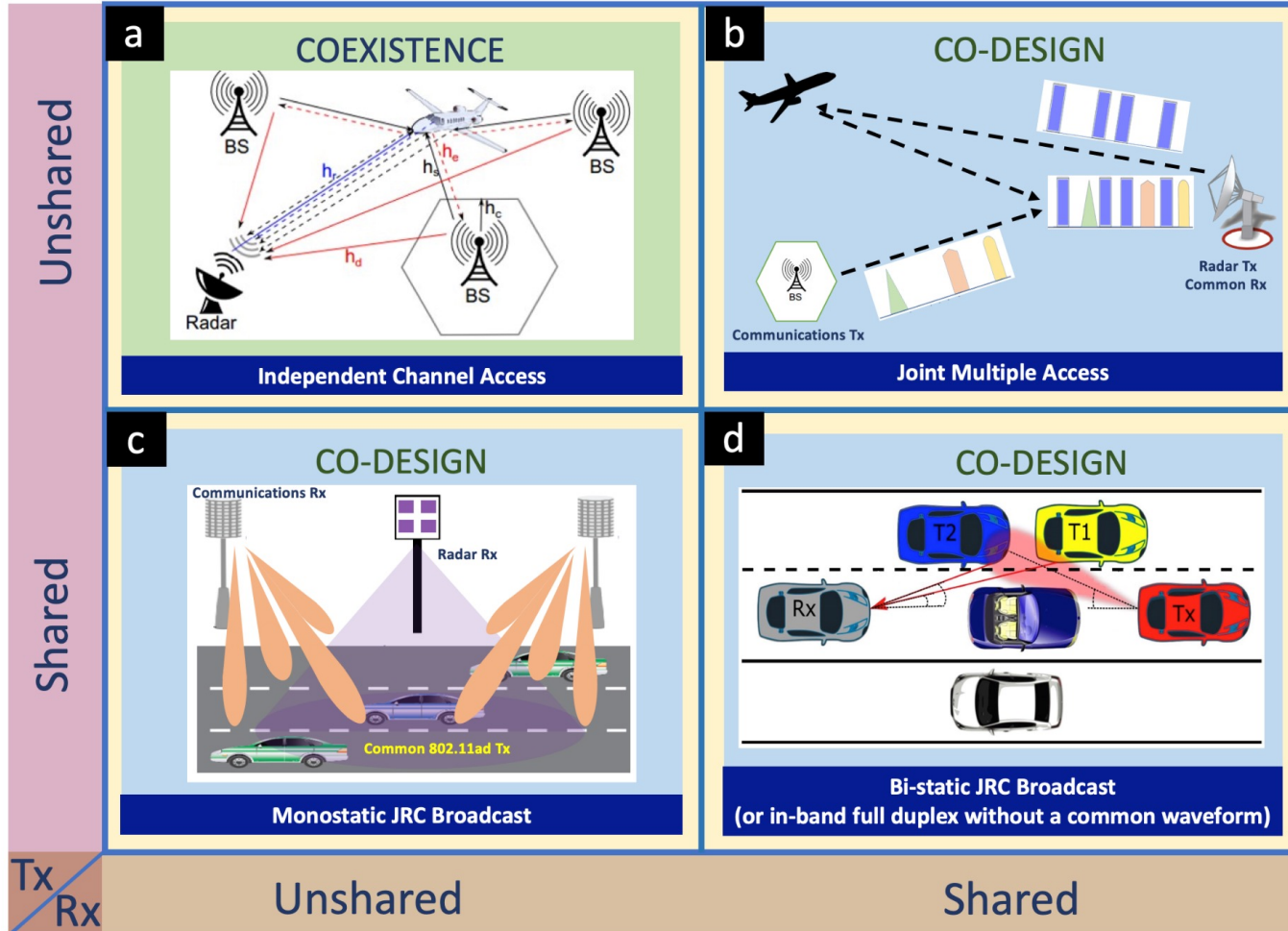
Shared Spectrum Access for Radar and Communications (SSPARC)



2nd
EARS
Workshop

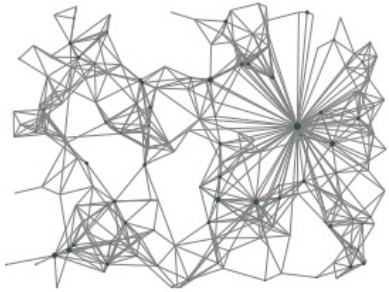
Welcome to the 2nd
Workshop on
**ENHANCING ACCESS
TO THE RADIO SPECTRUM**
OCTOBER 19 - 20, 2015
Sponsored by the National Science Foundation

Integrated Sensing and Communications (ISAC) Topologies

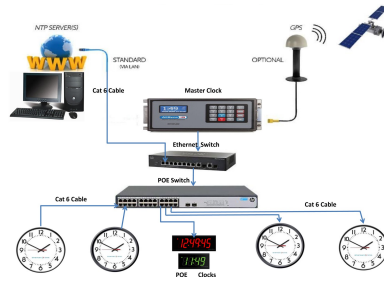


Distributed ISAC Considerations

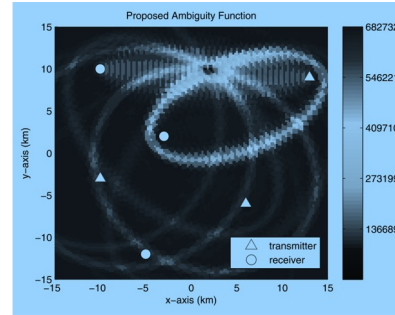
Challenge: Future networks will be more decentralized and edge-focused
Current research devoted to colocated/centralized ISAC



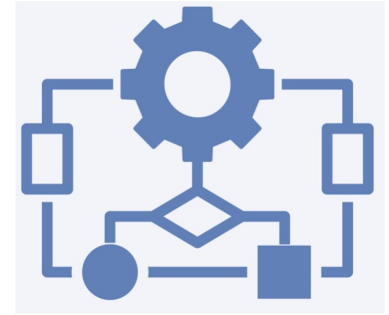
Complexity



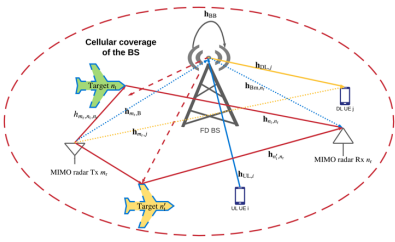
Synchronization



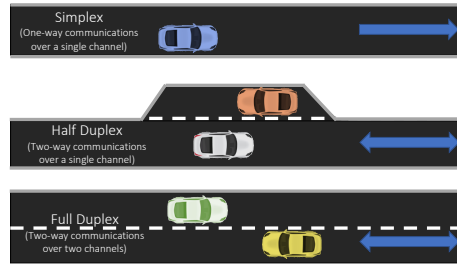
Statistical Design



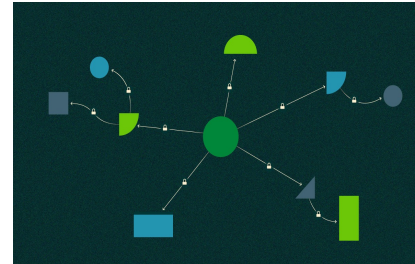
Speed



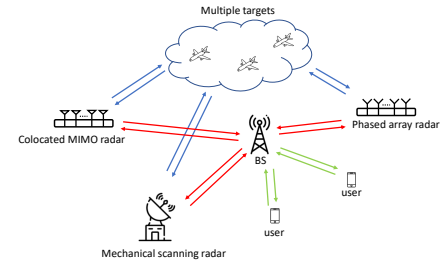
Data Association



Duplexing



Fusion Center



Architectures

Courtesy: The Expanse (Season 5)

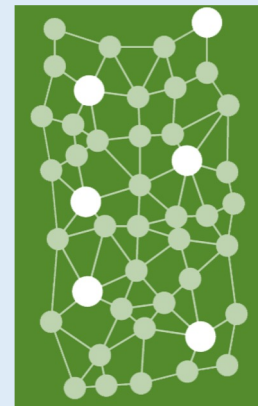


The tight-beam backscatter
we picked up

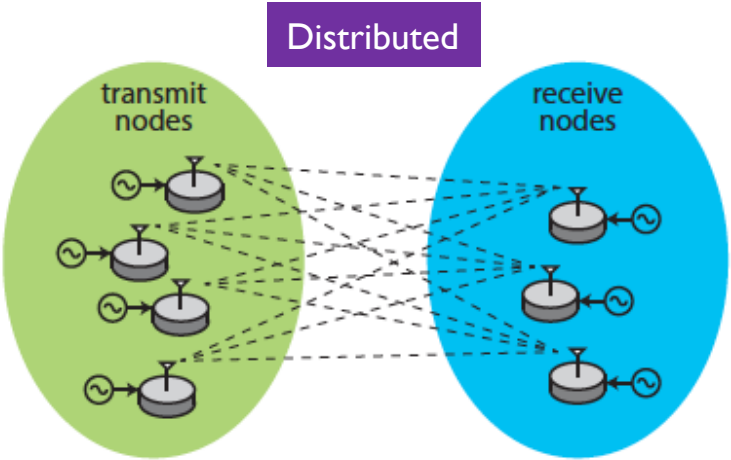
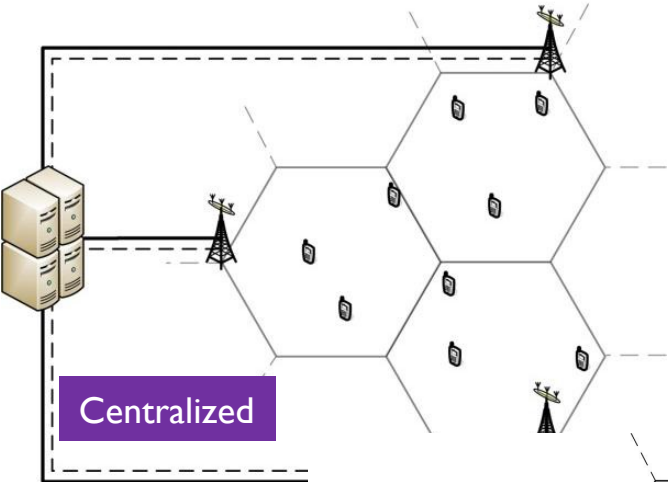


was probably a communication
with Marco.

Non-Colocated MIMO Communications

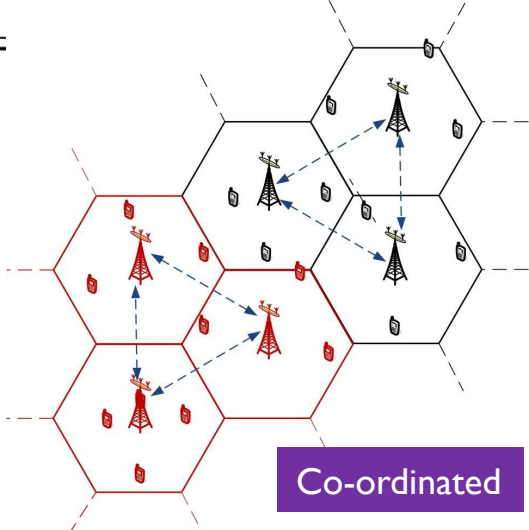
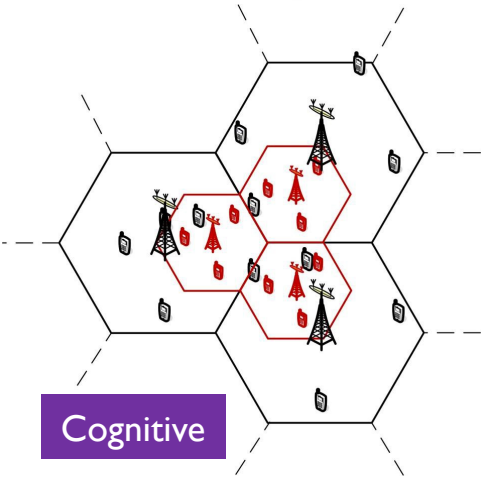


Non-colocated MIMO : Multi-cell, Distributed



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UL

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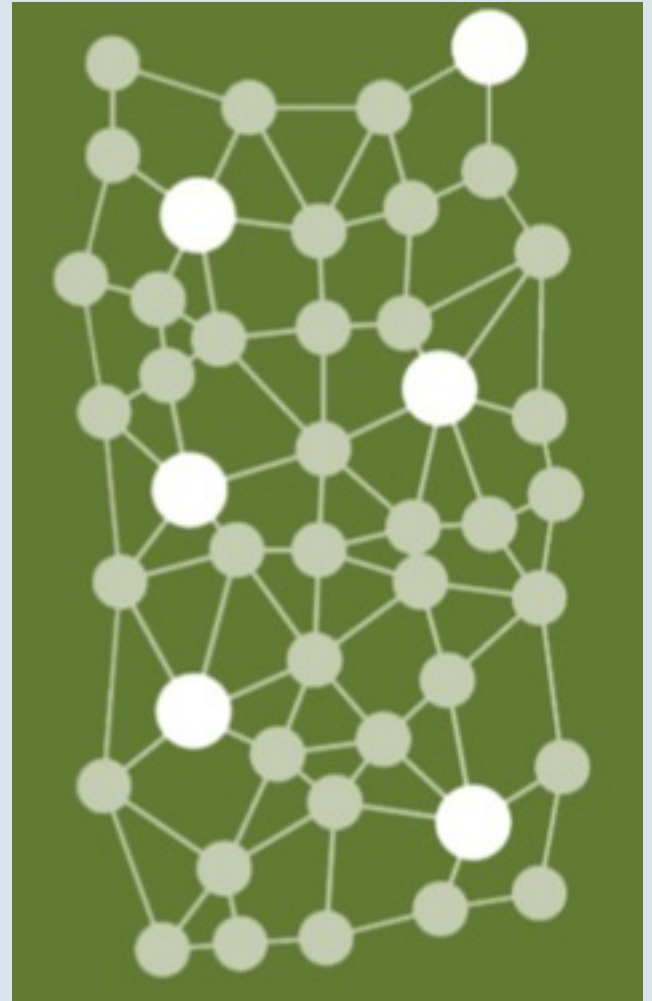
Aim to form large virtual arrays
→ Massive MIMO

- Synchronization
- Scaling up

Courtesy: Species II

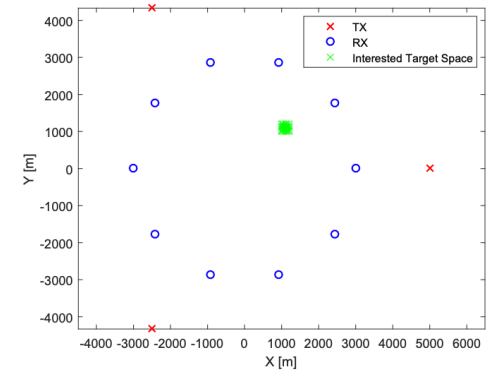
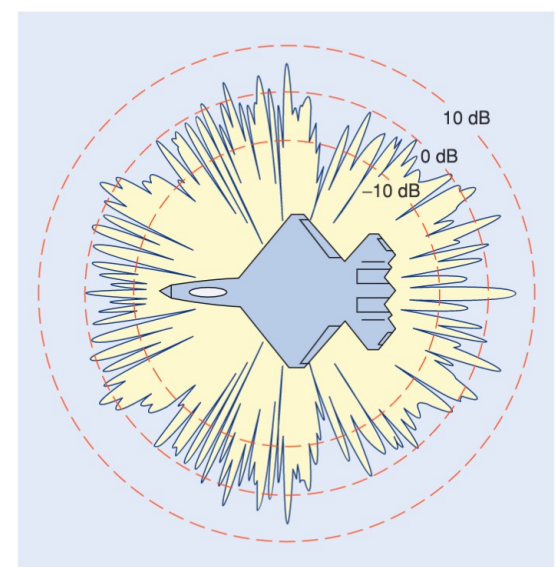
We had a malfunction
in the K.U. band antenna.

Widely Distributed MIMO Radar



Widely Distributed MIMO Radar

- ◆ Exploits spatial diversity of the target
- ◆ Tx and Rx placed so far apart that target RCS appears different to each Tx-Rx pair
- ◆ Also called “Statistical MIMO” because RCS is modeled as a random variable (=radar channel is statistical)
- ◆ Practical applications include detection of stealth target who may have minimal backscatter in each direction



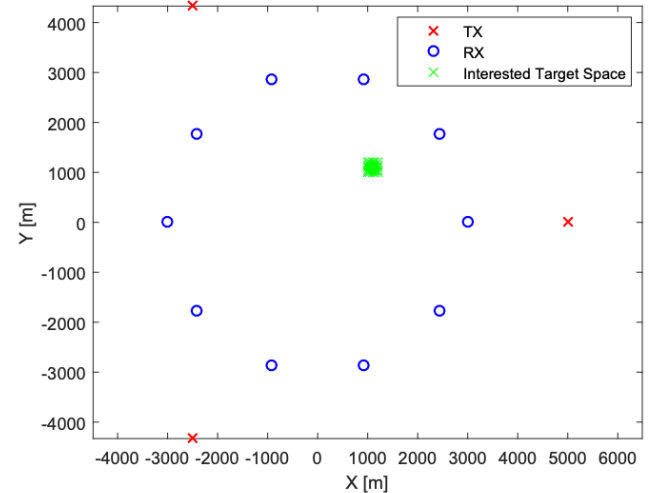
Widely Distributed MIMO Radar: Model with Doppler

- ◆ Assume that the radar target scene consists of K targets distributed in an area denoted by a set of coordinates S , sharing the same 2-D plane.
- ◆ Time delay $\tau_{mn}^{(k)}$ at n -th Rx w.r.t. m -th Tx is linearly proportional to the target's location $\mathbf{p}^{(k)}$:

$$\tau_{mn}^{(k)} = \frac{\|\mathbf{p}^{(k)} - \mathbf{p}_t^{(m)}\| + \|\mathbf{p}^{(k)} - \mathbf{p}_r^{(n)}\|}{c},$$

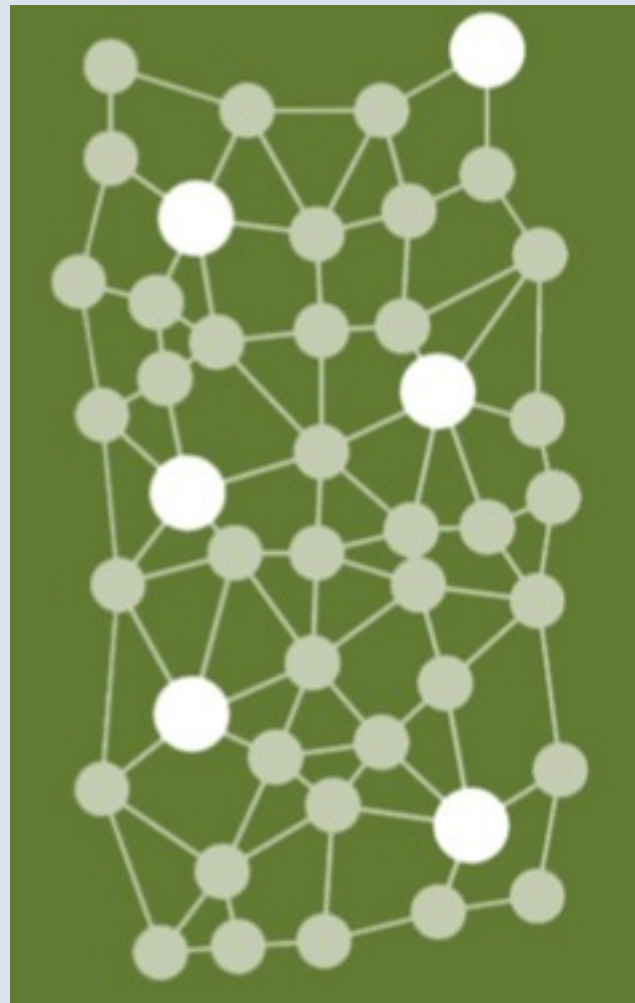
- ◆ Doppler frequency $f_{mn}^{(k)}$ is proportional to the target's radial velocity $\mathbf{v}^{(k)}$:

$$f_{mn}^{(k)} = \frac{f_m}{c} \left(\frac{\langle \mathbf{v}^{(k)}, \mathbf{p}^{(k)} - \mathbf{p}_t^{(m)} \rangle}{\|\mathbf{p}^{(k)} - \mathbf{p}_t^{(m)}\|} + \frac{\langle \mathbf{v}^{(k)}, \mathbf{p}^{(k)} - \mathbf{p}_r^{(n)} \rangle}{\|\mathbf{p}^{(k)} - \mathbf{p}_r^{(n)}\|} \right)$$

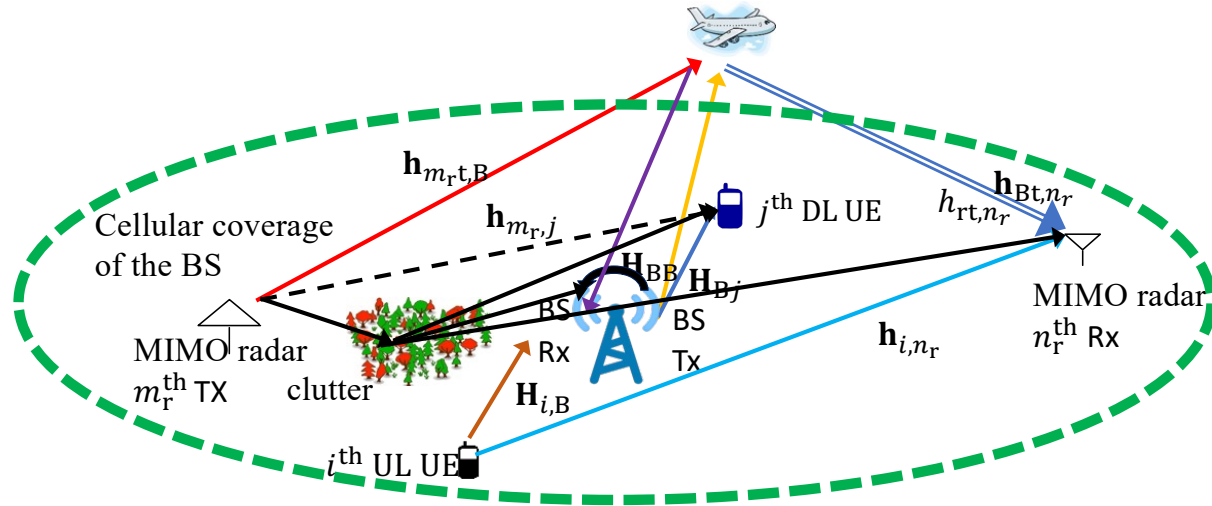




Full-Duplex Distributed ISAC



Statistical/Distributed Co-Design MRMC



Target RCS is not identical for all Tx-Rx pairs; modeled statistically

Radars work in cooperation with the downlink-reflected signal

IBFD MU-MIMO comms transmit while receiving target echoes

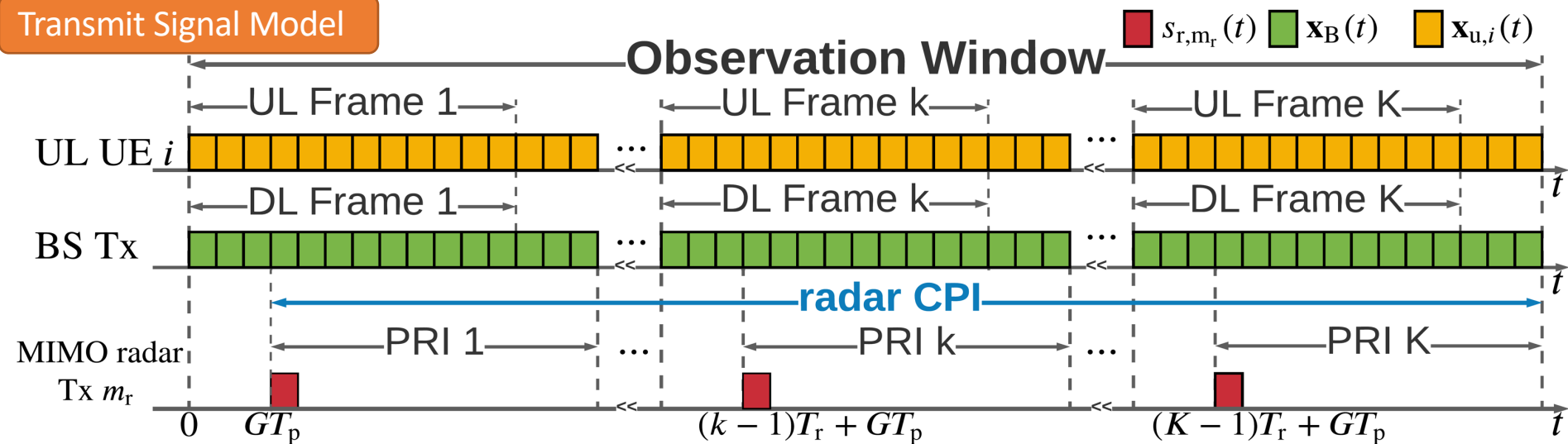
Determine a common metric for both radar and comms

Compounded and weighted sum mutual information as metric

Practical constraints: power budget, QoS, and PAR

Spectral Codesign System model

Transmit Signal Model



$$\text{UL UE } i: \mathbf{x}_{u,i}(t) = \sum_{k=0}^{K-1} \sum_{l=0}^{N-1} \mathbf{P}_{u,i}[k] \mathbf{d}_{u,i}[k, l] p_T(t - (kN + l)T_p)$$

Precoder of UL UE i

Data stream of UL UE i

Transmit pulse shape filter

$$\text{Radar Tx } m_r: \mathbf{s}_{m_r}(t) = \sum_{k=0}^{K-1} a_{m_r,k} \phi_{m_r}(t - kT_r - GT_p)$$

Radar Tx m_r code in the k -th PRI

$$\text{BS Tx: } \mathbf{x}_B(t) = \sum_{j=1}^J \sum_{k=0}^{K-1} \sum_{l=0}^{N-1} \mathbf{P}_{d,j}[k] \mathbf{d}_{d,j}[k, l] p_T(t - (kN + l)T_p)$$

Precoder of DL UE j

Data stream for DL UE j

Spectral Codesign System model

Composite Receive Signal Model

Receive Signal at BS Rx to decode UL UE i:

$$\mathbf{y}_i^u[k, l] = \mathbf{y}_{u,i}[k, l] + \mathbf{y}_{um,i}[k, l] + \mathbf{y}_{rB}[k, l] + \mathbf{y}_{BB}[k, l] + \mathbf{z}_B[k, l]$$

Multuser-interference

FD Self-interference

Receive Signal at DL UE j:

$$\mathbf{y}_j^d[k, l] = \mathbf{y}_{d,j}[k, l] + \mathbf{y}_{dm,j}[k, l] + \mathbf{y}_{u,j}[k, l] + \mathbf{y}_{r,j}[k, l] + \mathbf{z}_{d,j}[k, l]$$

UL interfering signal

Receive Signal at radar Rx n_r :

$$\mathbf{y}_{n_r}^r[k] = \mathbf{y}_{rt,n_r}[k] + \mathbf{y}_{Bt,n_r}[k] + \mathbf{y}_{Bm,n_r}[k] + \mathbf{y}_{u,n_r}[k] + \mathbf{y}_{c,n_r}[k] + \mathbf{z}_{r,nr}[k]$$

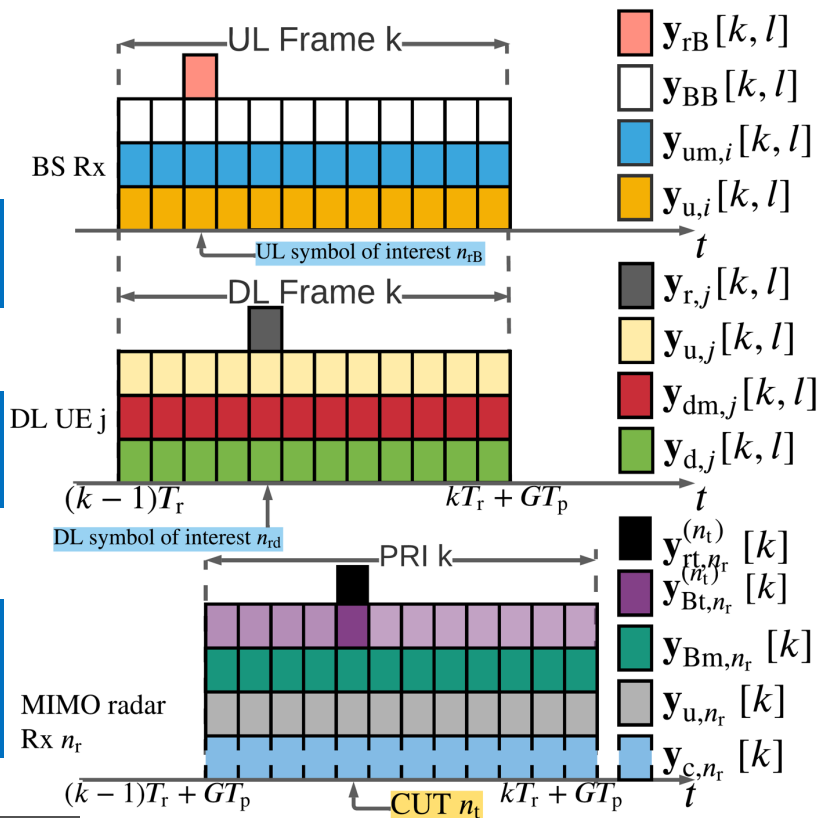
Target reflected DL signal

Multi-path propagated DL signal

UL signal

Clutter signal

Complex Gaussian Noise vectors



CWSM Maximization Problem

Receive Signal at n_r -th radar Rx:

$$I_{r,n_r} = \log \frac{\det(\mathbf{U}_{r,n_r}(\mathbf{R}_s + \mathbf{R}_{in,n_r})\mathbf{U}_{r,n_r}^\dagger)}{\det(\mathbf{U}_{r,n_r}\mathbf{R}_{in,n_r}\mathbf{U}_{r,n_r}^\dagger)}$$

Linear receiver at Radar Rx

Radar interference-plus-noise covariance matrix

Receive Signal at BS Rx to decode UL UE i:

$$I_{u,i}[k] = \log \det \left(\mathbf{I} + \mathbf{U}_{u,i}[k]\mathbf{R}_i^u[k]\mathbf{U}_{u,i}^\dagger[k] \left(\mathbf{U}_{u,i}[k]\mathbf{R}_{in,i}^u[k]\mathbf{U}_{u,i}^\dagger[k] \right)^{-1} \right)$$

Linear receiver at BS Rx to decode UL UE i

Receive Signal at BS Rx to decode DL UE j:

$$I_{d,j}[k] = \log \det \left(\mathbf{I} + \mathbf{U}_{d,j}[k]\mathbf{R}_j^d[k]\mathbf{U}_{d,j}^\dagger[k] \left(\mathbf{U}_{d,j}[k]\mathbf{R}_{in,j}^d[k]\mathbf{U}_{d,j}^\dagger[k] \right)^{-1} \right)$$

Compounded and weighted sum mutual information (CWSM):

$$I_{CWSM} = \sum_{n_r=1}^{N_r} \alpha_{n_r}^r I_{r,n_r} + \sum_{k=1}^K \sum_{i=1}^I \alpha_i^u I_{u,i}[k] + \sum_{k=1}^K \sum_{j=1}^J \alpha_d^j I_{d,j}[k]$$

Weight of radar Rx nr

Weight of UL UE i

CWSM Maximization Problem

maximize
 $\{\mathbf{P}\}, \{\mathbf{U}\}, \mathbf{A}$

subject to

Waveform code matrix
 $\mathbf{A} = [\mathbf{a}^T[1], \dots, \mathbf{a}^T[K]]$
 $= [\mathbf{a}_1, \dots, \mathbf{a}_{M_r}]$

$$I_{\text{CWSM}}\{\{\mathbf{P}\}, \{\mathbf{U}\}, \mathbf{A}\}$$

$$\sum_{j=1}^J \text{tr}\{P_{d,j}[k]P_{d,j}^\dagger[k]\} \leq P_B,$$

$$\text{tr}\{P_{u,i}[k]P_{u,i}^\dagger[k]\} \leq P_u,$$

$$R_j^d[k] \geq R_{\text{DL}},$$

$$R_i^u[k] \geq R_{\text{UL}},$$

$$\|\mathbf{a}_{m_r}\|^2 = P_{r,m_r},$$

$$\frac{\max_{k=1, \dots, K} |\mathbf{a}_{m_r}[k]|^2}{P_{r,m_r}} \leq \gamma_{m_r}, \forall i, j, k, m_r$$

DL and UL Power budgets

QoS constraints

PAR for radar codes

Non-convex problem solved through BCD algorithm

BCD-Based Iterative Alternating Algorithm

PAR constraint

- Partition the CWSM maximization problem into two sub problems
 1. Original problem w/o the PAR constraint
 - 2.: Matrix nearness problem to impose the PAR constraint

Cost function

- Equivalence of the weighted sum rate and the WMMSE
- Theorem 1

QoS constraints

- First order Taylor series expansions
- Theorem 2

BCD based Iterative Alternating Algorithm

$$\Sigma_{\text{wmse}}\{\{\mathbf{P}\}, \{\mathbf{U}\}, \mathbf{A}\} \triangleq \sum_{n_r=1}^{N_r} \alpha_{n_r}^r \text{tr}\{\mathbf{W}_{r,n_r}[k] \mathbf{E}_{r,n_r}[k]\} + \sum_{k=1}^K \sum_{i=1}^I \alpha_i^u \text{tr}\{\mathbf{W}_{u,i}[k] \mathbf{E}_{u,i}[k]\} \\ + \sum_{k=1}^K \sum_{j=1}^J \alpha_j^d \text{tr}\{\mathbf{W}_{d,j}[k] \mathbf{E}_{d,j}[k]\}$$

Weighted mean square error sum

Weight matrix

Mean square error matrix

Theorem (Liu, Mishra and Saquib, 2020)

Solving the problem

$$\begin{aligned} & \underset{\{\mathbf{P}\}, \{\mathbf{U}\}, \mathbf{A}}{\text{minimize}} && \Sigma_{\text{wmse}}\{\{\mathbf{P}\}, \{\mathbf{U}\}, \mathbf{A}\} \\ & \text{subject to} && \sum_{j=1}^J \text{tr}\{P_{d,j}[k] P_{d,j}^\dagger[k]\} \leq P_B, \\ & && \text{tr}\{P_{u,i}[k] P_{u,i}^\dagger[k]\} \leq P_u, \\ & && R_i^u[k] \geq R_{UL}, \\ & && R_j^d[k] \geq R_{DL}, \end{aligned}$$

yields the exact solution of the original problem without the PAR constraint.

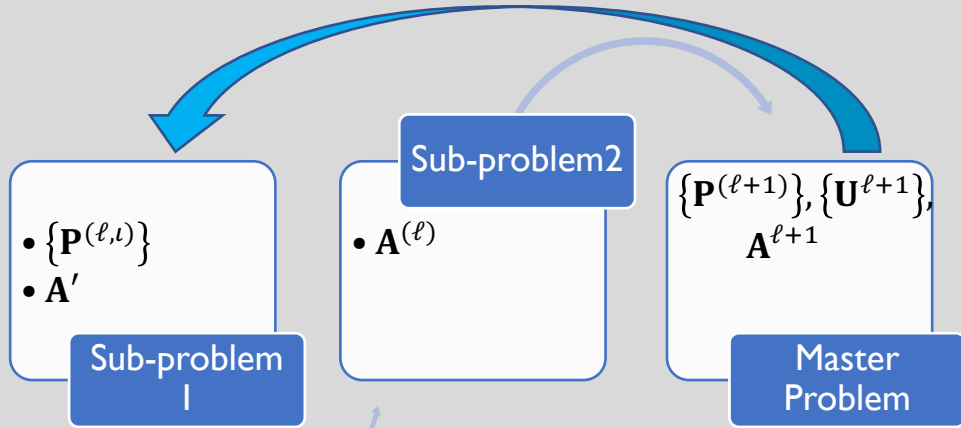
BCD based Iterative Alternating Algorithm

Sub-problem 2: Matrix nearness problem

$$\begin{aligned} & \text{maximize} && \| \mathbf{a}_{m_r} - \mathbf{a}'_{m_r} \|_2 \\ & \text{Subject to} && \| \mathbf{a}_{m_r} \|^2 = P_{r,m_r}, \\ & && \frac{K \max_{k=1,\dots,K} |a_{m_r}[k]|^2}{P_{r,m_r}} \leq \gamma_{m_r} \end{aligned}$$

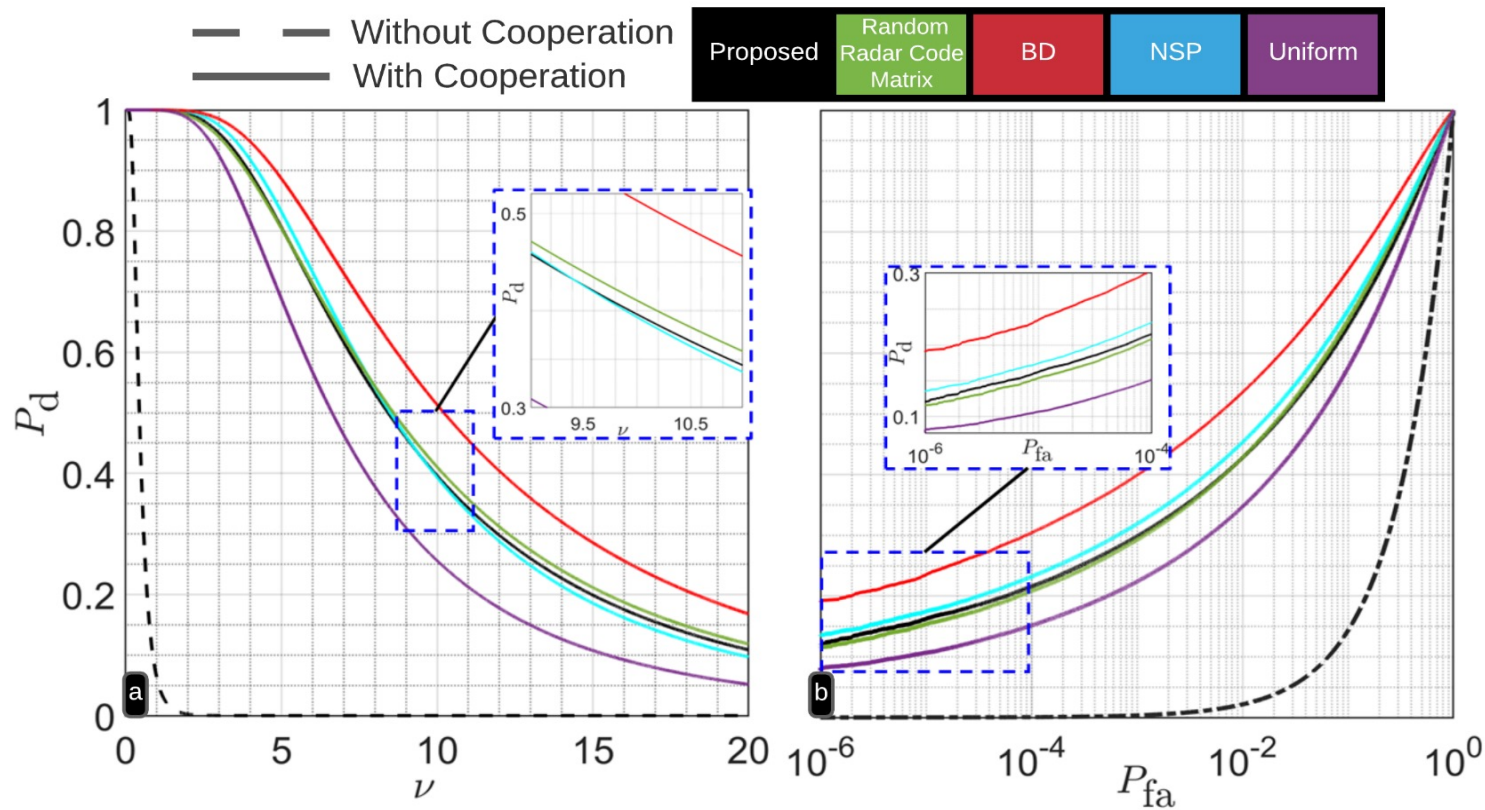
a structured tight frame design problem

BCD Iteration Summary

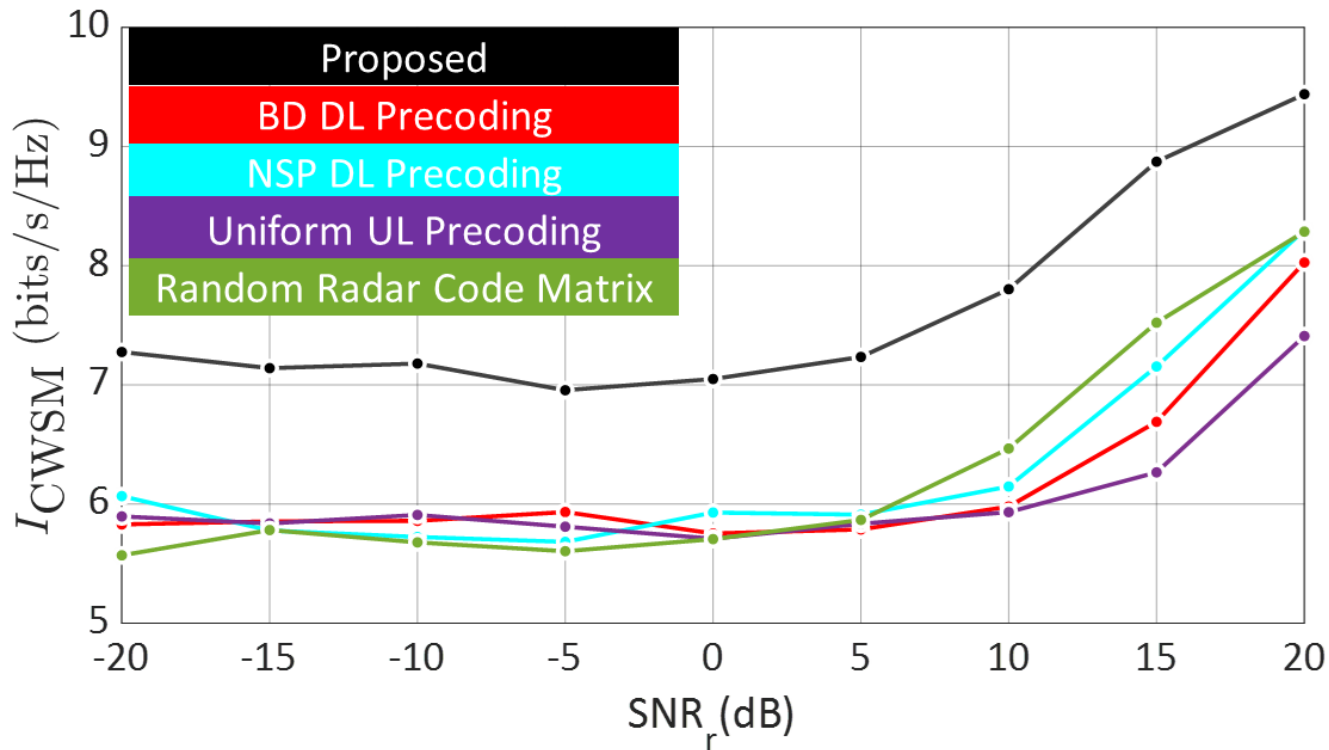


ℓ : iteration index of the master problem
 ι : iteration index of the sub-problem 2

Numerical Experiments

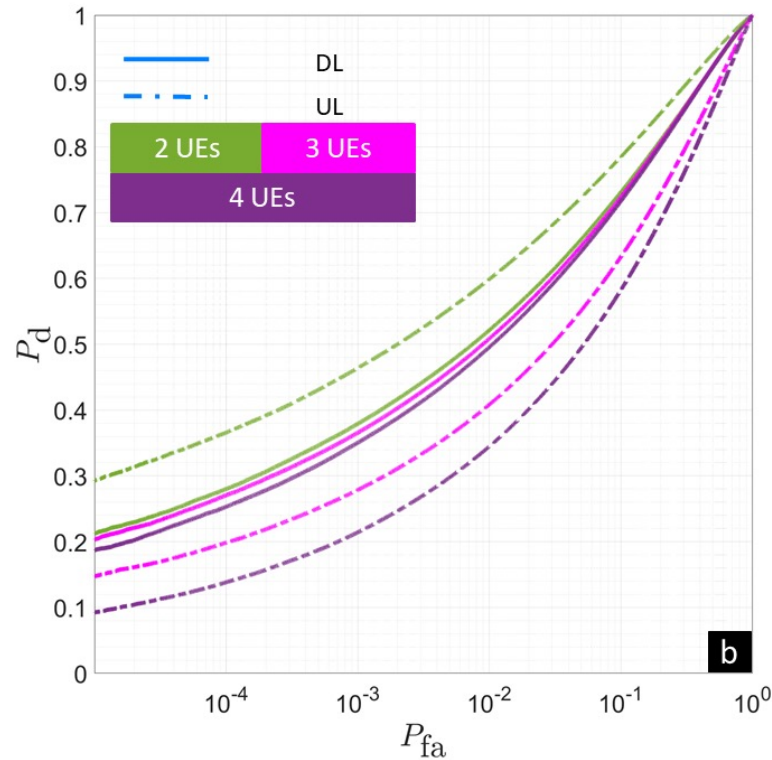
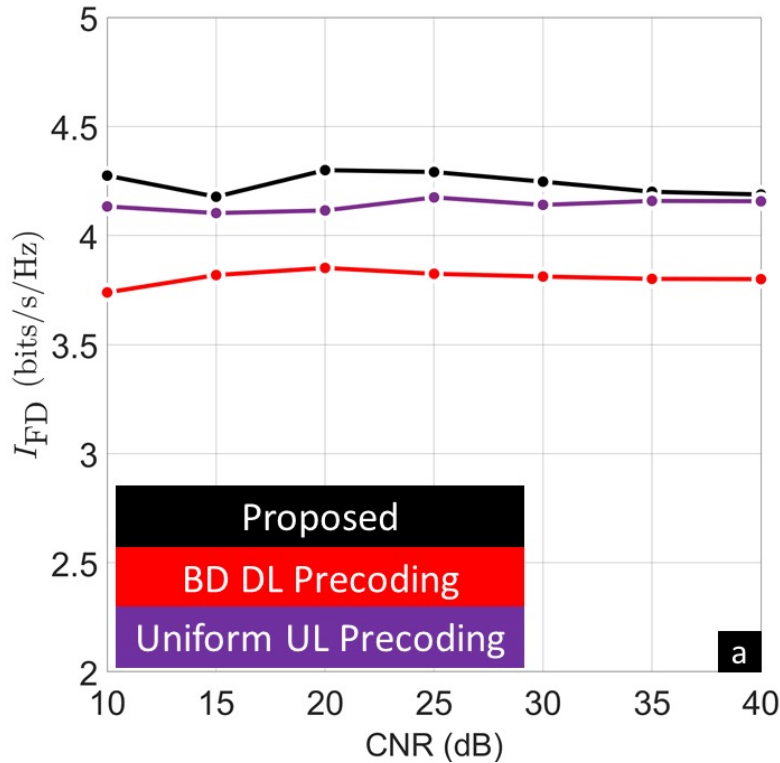


Numerical Experiments



The proposed precoder design scheme outperforms some conventional strategies

Numerical Experiments



Joint radar and communications analysis: (a) IBFD MU-MIMO performance vs. CNRs (b) ROC curves with varying numbers of UL/DL UEs.

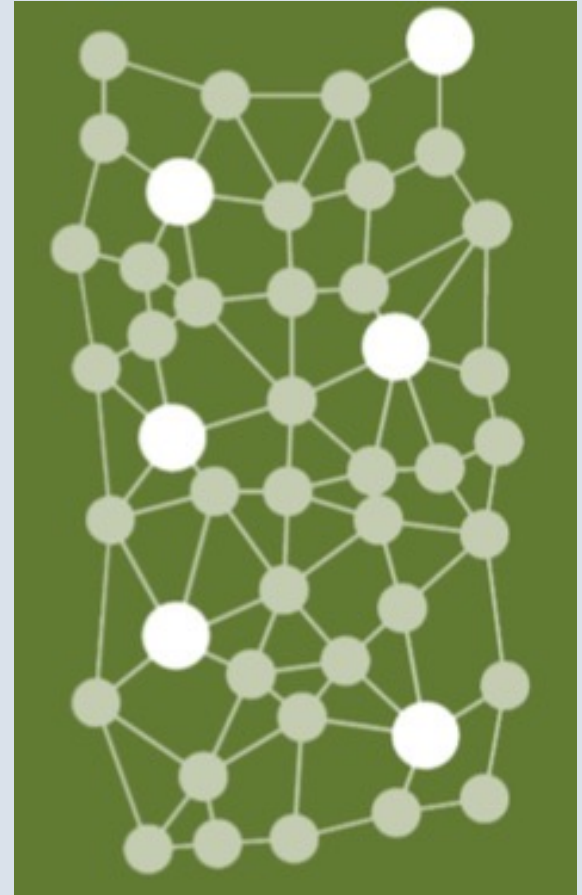
Courtesy:

Battlestar Galactica
(S01E10)

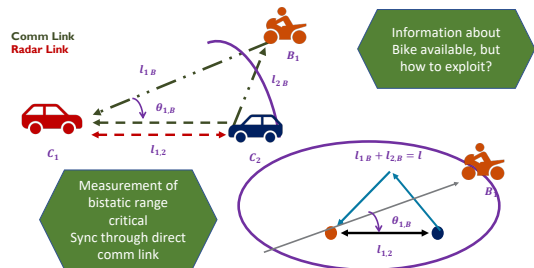


The decoy ships will jump into the enemy star system at extreme radar range from the Cylon asteroid

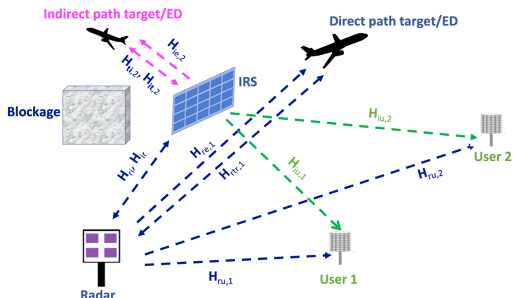
Other Distributed ISAC Architectures



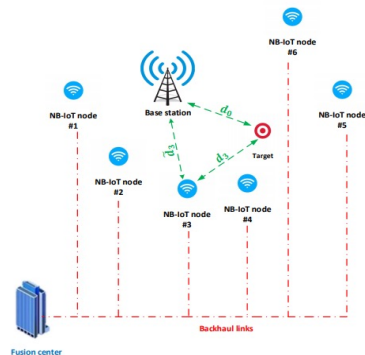
Emerging Distributed JRC/ISAC Trends



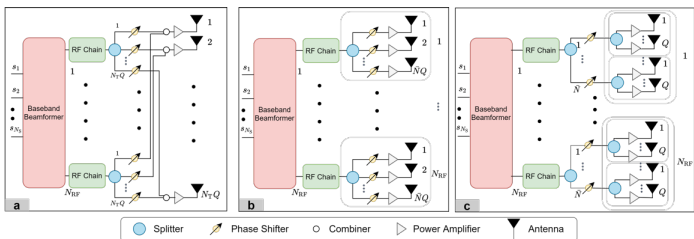
Sensor Fusion



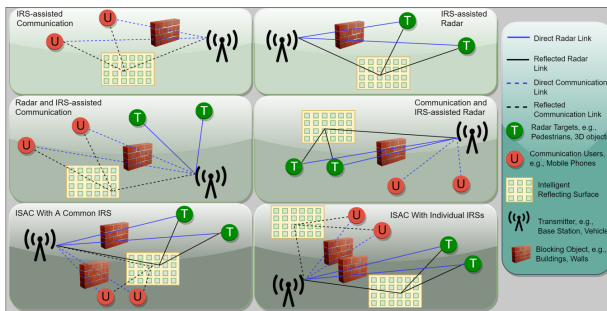
Secure IRS-Aided DFRC



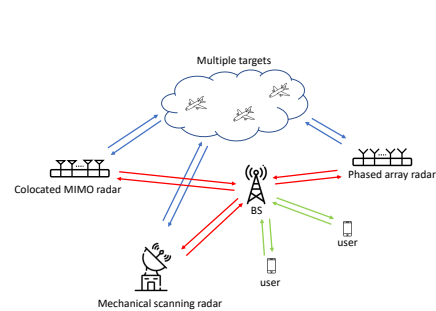
Passive ISAC



THz JRC



IRS-Aided ISAC



Heterogenous ISAC

S. H. Dokhanchi, M. R. B. Shankar, K. V. Mishra, and B. Ottersten, "Enhanced Automotive Target Detection through Radar and Communications Sensor Fusion," IEEE ICASSP 2021.

S. Sedighi, K. V. Mishra, M. R. B. Shankar and B. Ottersten, "Localization With One-Bit Passive Radars in Narrowband Internet-of-Things Using Multivariate Polynomial Optimization," IEEE T-SP, 2021.

A. M. Elbir, K. V. Mishra and S. Chatzinotas, "Terahertz-Band Joint Ultra-Massive MIMO Radar-Communications: Model-Based and Model-Free Hybrid Beamforming," IEEE J-STSP, 2021.

J. Liu, K. V. Mishra and M. Saquib, "Co-Designing Statistical MIMO Radar and In-band Full-Duplex Multi-User MIMO Communications," IEEE T-AES, 2022.

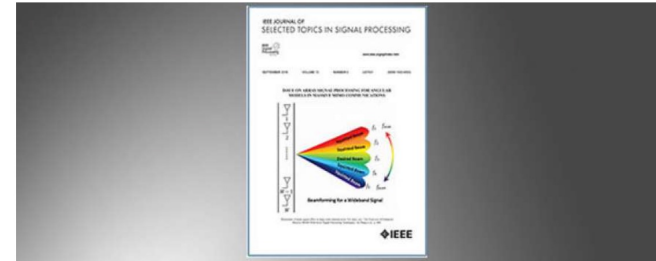
L. Wu, K. V. Mishra, M. R. B. Shankar and B. Ottersten, "Heterogeneously-Distributed Joint Radar Communications: Bayesian Resource Allocation," IEEE J-SAC, 2022.

Tong Wei, L. Wu, K. V. Mishra, M. R. B. Shankar and B. Ottersten, "Multi-IRS-Aided Wideband Integrated Sensing and Communications," 2022.

ISAC Workshop @2023 ICASSP



Workshop on Integrated Sensing and Communications (ISAC)



MAY
15

Special Issue Deadlines

IEEE JSTSP Special Issue on Learning-Based Signal Processing for Integrated Sensing and Communications

Manuscript Due: May 15, 2023

Publication Date: January 2024

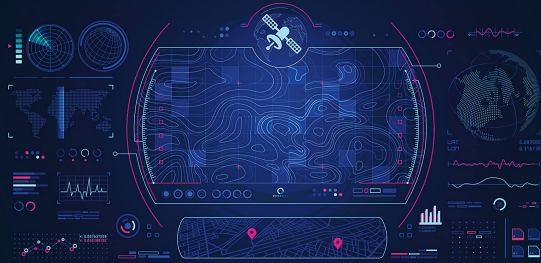
CFP Document

Thank you!

Signal Processing for Joint Radar- Communications

Edited by

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Björn Ottersten • A. Lee Swindlehurst



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